



2 **Upper ocean response of the Mesoamerican Barrier Reef**
 3 **System to Hurricane Mitch and coastal freshwater inputs:**
 4 **A study using Sea-viewing Wide Field-of-view Sensor (SeaWiFS)**
 5 **ocean color data and a nested-grid ocean**
 6 **circulation model**

7 Jinyu Sheng,¹ Liang Wang,² Serge Andréfouët,³ Chuanmin Hu,² Bruce G. Hatcher,⁴
 8 Frank E. Muller-Karger,² Björn Kjerfve,⁵ William D. Heyman,⁵ and Bo Yang¹

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10 [1] The passage of category-5 Hurricane Mitch through the Mesoamerican Barrier Reef
 11 System (MBRS) in October 1998 was an extreme event with the potential to create
 12 unusual patterns of reef connectivity. The impact of this hurricane on the upper ocean of
 13 the MBRS is investigated using a triply nested grid ocean circulation modeling system.
 14 The model results are validated with contemporaneous ocean color data from the Sea-
 15 viewing Wide Field-of-view Sensor (SeaWiFS) satellite and oceanographic measurements
 16 in the MBRS. The nested grid system is forced by 6-hourly National Centers for
 17 Environmental Prediction/National Center for Atmospheric Research (NCEP/NCAR)
 18 winds for the first 294 days prior to the arrival of the hurricane in the MBRS, and then by
 19 the combination of the NCEP/NCAR wind-forcing and an idealized vortex representative
 20 of Mitch for the following 20 days. The system is also forced by the monthly mean sea
 21 surface heat and freshwater fluxes and buoyancy forcing associated with major river
 22 discharges and storm-induced precipitation in the western Caribbean Sea. The simulated
 23 upper ocean circulation during Mitch is characterized by strong and divergent currents
 24 under the storm and intense near-inertial currents and sea surface temperature cooling
 25 behind the storm. The nested grid system also reproduces the buoyant estuarine plumes
 26 extending from the coast off Honduras as inferred from SeaWiFS satellite data and
 27 detected in field measurements at Gladden Spit in Belize shortly after the passage of
 28 Hurricane Mitch. The present model results suggest that populations of site-attached
 29 organisms associated with nearshore and offshore reef features that are dynamically
 30 isolated in normal conditions experienced greater potential for ecological connection
 31 under Mitch's extreme conditions.

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37 **1. Introduction**

38 [2] The Mesoamerican Barrier Reef System (MBRS) is
 39 the largest coral reef system in the Caribbean Sea, extending

¹Department of Oceanography, Dalhousie University, Halifax, Nova Scotia, Canada.

²Institute for Marine Remote Sensing, College of Marine Science, University of South Florida, St. Petersburg, Florida, USA.

³UR 128 Coréus, Institut de Recherche pour le Développement, Noumea, New Caledonia.

⁴Centre for Marine Ecosystem Research, Cape Breton University, Sydney, Nova Scotia, Canada.

⁵Department of Geography and Department of Oceanography, Texas A&M University, College Station, Texas, USA.

from the Bay Islands of Honduras to the northeast tip of 40
 Yucatan Peninsula of Mexico (Figure 1). Several million 41
 people live in the coastal areas of the MBRS and benefit 42
 from the natural resources provided by a network of coral 43
 structures and their biodiversity. Coral reefs in the region 44
 are affected by various natural and human disturbances and 45
 stresses including hurricanes, coral bleaching, disease out- 46
 breaks, overfishing, and contamination from land-based 47
 sources of pollution [Kramer and Kramer, 2002]. The 48
 MBRS is the focus of a large number of conservation and 49
 management programs. 50

[3] A critical factor in measures designed to preserve 51
 biodiversity and maintain the resilience and productivity of 52
 large reef tracts is the degree of connectivity that exists 53
 among individual reefs within the ecosystem [Palumbi, 54

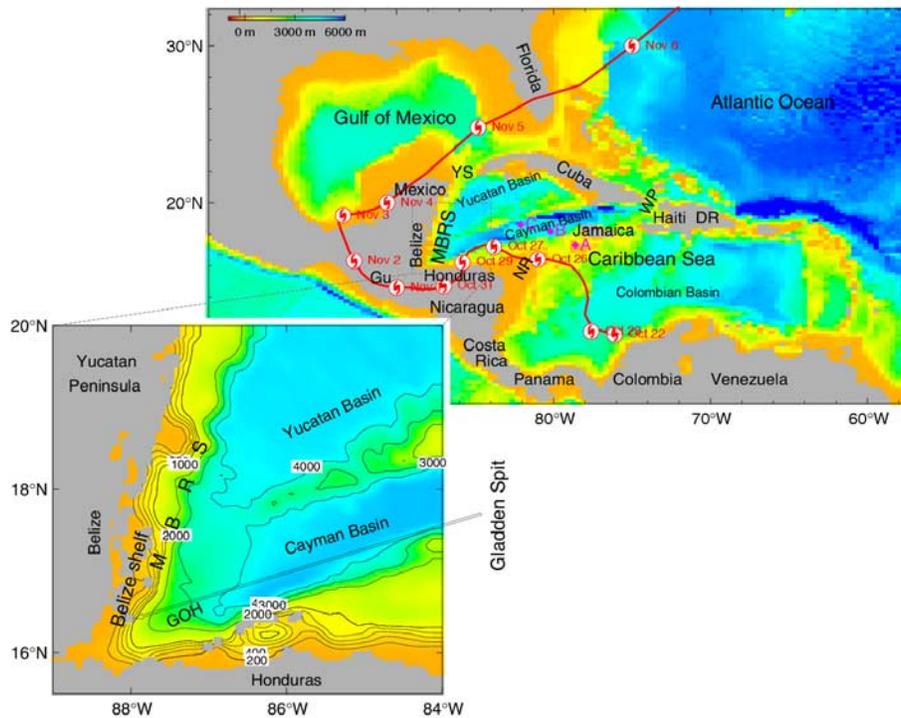


Figure 1. Topographic map of the Gulf of Mexico and Caribbean Sea (using the 2-min gridded global relief data known as ETOPO2 for this figure only. Readers are referred to Figure 5 for model topography), and the storm track (red line) of Hurricane Mitch from 22 October to 6 November 1998. The storm symbol along the storm track denotes the beginning location of the storm center on each day. Abbreviations are used for the Mesoamerican Barrier Reef System (MBRS), Yucatan Strait (YS), Gulf of Honduras (GOH), Guatemala (Gu), Nicaragua Rise (NR), Dominican Republic (DR), Windward Passage (WP), and Gladden Spit (the location of oceanographic measurements presented in Figures 3 and 4). Model results at sites A, B, and C are presented in Figures 13 and 14. The isobaths in the bottom left panel are labeled in meters.

55 2003]. Geographically distinct reef units act as both sources and
 56 sinks of inorganic and organic materials, of the larvae of
 57 corals, fish and other organisms that define reef community
 58 structure and function [Hatcher, 1997; Sale, 2004; Hatcher
 59 et al., 2004]. Clarifying and quantifying the temporal and
 60 spatial scales of these physical and biological connections
 61 among reefs are challenges that require coupled biological-
 62 physical models of ecological connectivity under average,
 63 time-varying and extreme forcing conditions. Numerical
 64 models have been applied in this context for about twenty
 65 years, but recent demand for ecosystem-based management
 66 practices based on scientific knowledge has accelerated
 67 development of these models [Wolanski, 2001; Cowen et
 68 al., 2006; Tang et al., 2006].

69 [4] Quantification of hydrodynamic connections of dense
 70 matrices of reefs within a large ocean management area
 71 requires reliable ocean circulation models with spatial
 72 resolutions adequate to resolve individual reef structures
 73 and the upper layer of the water column where bioparticles
 74 reside. There are several model options. Finite difference
 75 models with a very high resolution grid throughout the
 76 entire domain are ideal, but processing times are prohibitive.
 77 Finite element models with variable mesh size grid are
 78 popular, but designing grids useful for Lagrangian tracking
 79 is problematic [Legrand et al., 2006]. A third option is to
 80 embed finer-resolution finite difference submodels within a

coarser regional model [Oey and Chen, 1992; Sheng et al., 81
 2005]. Patterns of physical connectivity in a given area 82
 evolve on timescales spanning hours to years, depending on 83
 a variety of factors such as the tidal regime, wind-forcing or 84
 global climate change. Climatological oceanographic data 85
 can be used to derive average connectivity patterns on 86
 timescales approximating the life cycles of reef organisms, 87
 but extreme and sporadic events such as hurricanes and 88
 tropical storms will generate unusual, short-term patterns. 89
 Successful simulations of these patterns could reveal impor- 90
 tant transfers among reef populations, especially if they 91
 are concurrent with fish or coral spawning periods. Param- 92
 eterizing, calibrating and validating extreme-event models 93
 poses yet another level of challenge, which must be 94
 addressed with synoptic observation tools. 95

[5] The main objectives of this study are to study the 96
 effect of a major hurricane event on the upper ocean 97
 circulation of the MBRS using a nested-grid modeling 98
 system, and to use the satellite imagery and field data 99
 collected during the event to evaluate the numerical results. 100
 In October 1998, the Sea-viewing Wide Field-of-view 101
 Sensor (SeaWiFS) captured dispersal patterns of fresh water 102
 plumes that traced connections between land and various 103
 reefs immediately following landfall of Hurricane Mitch in 104
 Honduras [Andréfouët et al., 2002]. River plumes originat- 105
 ing along the northern Honduras coast reached reefs in 106

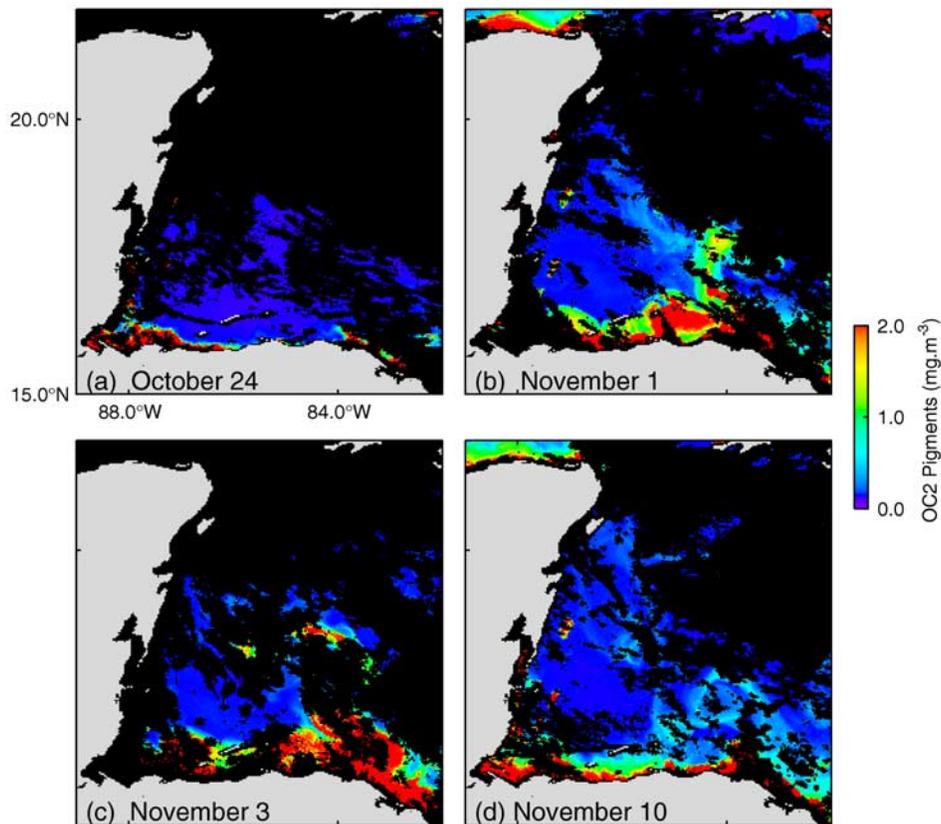


Figure 2. Spatial patterns of turbid coastal water plumes on the MBRS derived from SeaWiFS remote sensing data during and after Hurricane Mitch in Autumn 1998 [Andréfouët *et al.*, 2002]. The images were obtained using the SeaWiFS Data Analysis System (SeaDAS V4.4) distributed by NASA, where chlorophyll-a pigment concentrations were estimated using the OC2 algorithm of O’Reilly *et al.* [1998]. Clouds and land are masked as black and grey colors, respectively. (a) Typical dry season conditions showing clear ocean and narrow zones of turbidity near the river mouths. (b) First cloud-free image 3 days after landfall of Mitch showing a large-scale plume that covered most of the Bay Islands and extended to 200 km from its origin. (c) The coastal water plume extended farther northward to reach Glovers atoll on the Belize shelf. (d) The plume dissipated by dilution.

107 Belize and Mexico (Figure 2). Numerical models have
 108 already been developed to study connectivity in the MBRS
 109 under climatological (monthly mean) conditions [Tang *et*
 110 *al.*, 2006] and eddies influence [Ezer *et al.*, 2005]. Here we
 111 ask whether extreme forcing of the simple and effective
 112 parameterizations of one of these models can reproduce
 113 surface ocean circulation events at temporal and spatial
 114 scales relevant to ecological connectivity. Hurricane Mitch
 115 provides an ideal case study. In this study we use the
 116 modified version of the nested-grid model system devel-
 117 oped by Tang *et al.* [2006] with reasonable representation of
 118 model forcing associated with the storm, and demonstrate
 119 how remotely sensed data can be used to evaluate the
 120 pattern of physical connectivity associated with the extreme
 121 event.

122 [6] The structure of this paper is as follows. Section 2
 123 summarizes the general mean circulation within the MBRS
 124 and provides a brief review of numerical modeling of
 125 hurricane-induced circulation. Section 3 presents the re-
 126 motely sensed and in situ observations collected during
 127 Mitch, and describes the triply nested-grid modeling system

and external forcing. Section 4 discusses the model results,
 including near-surface and subsurface currents, SST cool-
 ing, patterns of river plume dispersal and reef connectivity.
 Section 5 provides a brief summary and discussion.

2. Background

2.1. Observed and Simulated Ocean Circulation in the MBRS Under Normal Conditions

[7] Many different types of three-dimensional ocean
 circulation models have been used to study the large-scale
 circulation of the Caribbean Sea [Murphy *et al.*, 1999; Ezer
et al., 2003; Sheng and Tang, 2003; Ezer *et al.*, 2005; Oey *et*
al., 2005; Tang *et al.*, 2006; Oey *et al.*, 2006, 2007]. The
 recent studies by Sheng and Tang [2003, 2004], Ezer *et al.*
 [2005], and Tang *et al.* [2006] focus specifically on the
 western Caribbean Sea (WCS) and the MBRS. Sheng and
 Tang [2004] used a doubly nested-grid system to study the
 monthly mean circulation in the MBRS that featured a finer-
 resolution (~6 km) inner model embedded in a coarse-
 resolution (~20 km) model for the WCS. Tang *et al.* [2006]
 used a triply nested-grid system with horizontal resolutions

148 of ~ 20 km, 6 km and 2 km to study the upper ocean
 149 circulation and hydrodynamic connectivity associated with
 150 the reef atolls on the Belize shelf. By using the Princeton
 151 Ocean Model with a variable horizontal resolution ranging
 152 from 3 km along the MBRS to 8 km on the open boundary,
 153 *Ezer et al.* [2005] examined the influence of topography,
 154 circulation, wind, density and eddies on 3D circulation in
 155 the MBRS. All of these models reproduce the general
 156 circulation patterns inferred from sparse and rare empirical
 157 observations. Little is known, however, about the detailed,
 158 interreef circulation within the MBRS during sporadic or
 159 extreme events.

160 [8] Historical observations compiled by *Craig* [1966]
 161 identify three distinct features of the general mean circula-
 162 tion in the upper ocean of the MBRS region [see also *Ezer*
 163 *et al.*, 2005]: an intense northwestward offshore flow as part
 164 of the Caribbean Current in the deep water off the conti-
 165 nental shelves of Honduras and Belize; an equatorward
 166 coastal current that flows first along the east coast of Belize
 167 and then eastward along the northern coasts of Guatemala
 168 and Honduras; and a cyclonic (counterclockwise) circula-
 169 tion in the Gulf of Honduras (GOH) [*Heyman and Kjerfve*,
 170 2000]. As discussed by *Ezer et al.* [2005], two subsurface
 171 drifters were deployed in April 2000 at 15 m, one to the
 172 south and one to the west of Glover's Reef. The first drifted
 173 southward and then eastward, following a cyclonic gyre in
 174 the GOH. The second drifted northward about 200 km in
 175 20 days, indicating a northward flow from Glover's Reef
 176 and through the passage between Turneffe Islands and
 177 Lighthouse Reef Atolls. Unlike the first trajectory, this
 178 northward current was in the direction opposite to the general
 179 mean circulation pattern suggested by *Craig* [1966]. *Ezer et al.*
 180 [2005] attributed this discrepancy to the mesoscale
 181 variability of the near-surface circulation in the region.

182 2.2. Numerical Studies of Hurricane-Induced 183 Circulations

184 [9] Various numerical studies have examined storm-
 185 induced circulations in coastal and open ocean waters
 186 [*Chang and Anthes*, 1978; *Price*, 1981; *Greatbatch*, 1983;
 187 *Sheng et al.*, 2006; *Oey et al.*, 2006, 2007]. *Price* [1981]
 188 suggested a simple parameterization for estimating the
 189 vertical eddy viscosity and diffusivity coefficients in the
 190 upper ocean in terms of the mean velocity difference across
 191 the base of the mixed layer. With *Price's* parameterization,
 192 *Sheng et al.* [2006] simulated the storm-induced currents on
 193 the Scotian Shelf and adjacent deep waters associated with
 194 Hurricane Juan in 2003. *Oey et al.* [2006, 2007] studied the
 195 response of the Caribbean Sea and Gulf of Mexico to
 196 Hurricane Wilma in 2005 using the Princeton Regional
 197 Ocean Forecast System. Together, these studies demonstrate
 198 that the upper ocean response to a moving storm can be
 199 characterized as intense inertial oscillations and sea surface
 200 cooling in the storm wake, biased to the right of the storm
 201 track, and strongly dependent on the hurricane translation
 202 speed. Intensive vertical mixing induced by the pressure-
 203 driven displacement of the sea surface elevation and the
 204 wind-driven vorticity results in significant drops in sea
 205 surface temperature (SST), typically from 1 to 6°C, behind
 206 a moving storm [*Jordan*, 1964; *Fedorov et al.*, 1979; *Smith*,
 207 1982; *Cornillon et al.*, 1987]. These models, however, do
 208 not deal well with the evolution of the density field

associated with storm-induced inputs of fresh water, which 209
 are important in reef-bound coastal seas such as the MBRS. 210
 Our study places special emphasis on storm-induced cur- 211
 rents and density variations in the upper layer of the MBRS 212
 during Hurricane Mitch because these attributes may strongly 213
 influence patterns of ecological connectivity. 214

216 3. Methods: Observations During Mitch and 217 Nested-grid Modeling System

218 3.1. Remotely Sensed and in Situ Observations 219 During Hurricane Mitch

220 [10] Hurricane Mitch devastated areas in the Central 220
 American countries of Nicaragua, Honduras, El Salvador 221
 and Guatemala, resulting in more than 9,000 human deaths. 222
 The storm originated from a tropical wave over western 223
 Africa on 8 October 1998 and moved through the eastern 224
 Caribbean Sea on 18 and 19 October ([http://www.nhc.noaa.](http://www.nhc.noaa.gov)
 225 [gov](http://www.nhc.noaa.gov)). Mitch intensified from a tropical depression to a 226
 hurricane in the southwestern Caribbean Sea on 22 October 227
 (Figure 1), with a maximum wind speed of ~ 55 km h⁻¹. By 228
 26 October, the storm had strengthened to a Saffir-Simpson 229
 category-5 hurricane, with a maximum sustained wind speed 230
 of ~ 285 km h⁻¹. From 27 October, Mitch traveled east, 231
 parallel to and some 60 km off the Honduras coast, turned 232
 sharply south, then became nearly stationary over Guanaja in 233
 the Bay Islands for over 24 hours, eventually drifting slowly 234
 south. The storm made landfall over Honduras during 235
 the morning of 29 October with a maximum wind speed of 236
 ~ 160 km h⁻¹. Mitch progressed inland to the south then 237
 westward over the mountainous regions of Honduras and 238
 Guatemala. During its passage, Mitch generated between 239
 0.17 m and 1.9 m of precipitation over much of Nicaragua, 240
 Honduras, and Guatemala, which in turn caused intense 241
 flooding and land slides [*Guiney and Lawrence*, 1999], and 242
 massive river discharge to the adjacent coast [*Smith et al.*, 243
 2002]. 244

245 [11] Synoptic satellite imagery provides critical informa- 245
 tion for the calibration and verification of numerical models 246
 of atmospheric and oceanic circulations [*Ishizaka*, 1990]. 247
 Remotely sensed data can map the time-evolving distribu- 248
 tion of low-salinity waters near the coast [*Andréfouët et al.*, 249
 2002; *Hu et al.*, 2004, 2005]. SeaWiFS images collected 250
 after Hurricane Mitch provide a clear picture of coastal 251
 runoff because the river plumes have a color different from 252
 the more transparent waters of the western Caribbean Sea. 253
 This capability can be used to measure the displacement of 254
 density fronts associated with differences in water salinity 255
 [*Hu et al.*, 2004]. SeaWiFS images have been used to 256
 demonstrate an advective connection between nearshore 257
 and offshore areas of the MBRS [*Andréfouët et al.*, 2002]. 258
 On 24 October, prior to the arrival of Hurricane Mitch, 259
 turbid water was restricted to the Honduras coast and Belize 260
 shelf (Figure 2a). After Mitch, the turbid plume extended 261
 from the northeast coast of Honduras to the deep ocean, the 262
 Bay Islands (150 km, eastward, Figure 2b), and further 263
 north to the Belize shelf on November 3 (Figure 2c). 264

265 [12] SeaWiFS high-resolution (1.1 km/pixel at nadir) data 265
 were captured and processed at the University of South 266
 Florida using the software package SeaDAS4.4. After several 267
 rounds of reprocessing to incorporate calibration and algo- 268
 rithm updates, the data products (such as distributions of 269

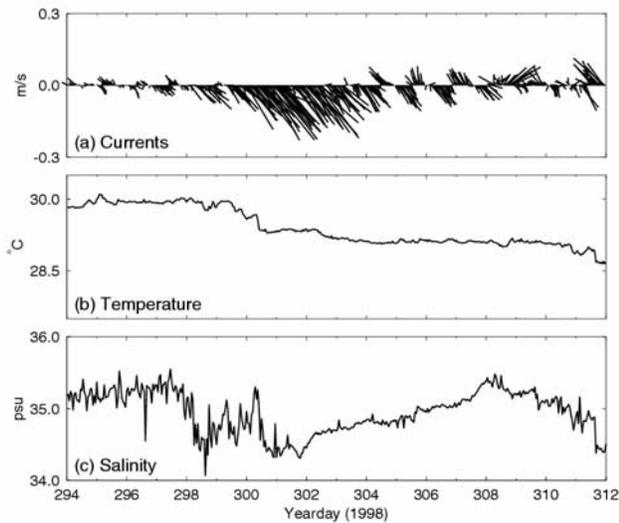


Figure 3. Observed (a) currents, (b) temperature, and (c) salinity made by a current meter deployed at 5 m above the bottom in a water depth of 27 m at and 87.95°W 16.5°N off Gladden Spit at the southern end of the Belize Barrier Reef (see Figure 1) over an 18-day time series (22 October to 8 November 1998) spanning the passage of Hurricane Mitch through the area.

chlorophyll-a concentration) are considered to be of high scientific quality [McClain *et al.*, 2004]. We used the SeaWiFS ocean color data to evaluate the numerical model results of our study by inferring the distribution of low-salinity surface waters derived from terrestrial discharge associated with the hurricane. First we derived the back-scattering coefficient (b_{bp}) and the total combined absorption coefficient due to colored dissolved organic matter (CDOM) plus detritus (i.e., $a_{CDM} = a_{CDOM} + a_D$, [m^{-1}])

using remote sensing reflectance in the visible bands (412, 443, 490, 510, 555, and 670 nm, respectively) in a semianalytical algorithm [Lee *et al.*, 2002]. An empirical equation was then used to estimate a_D ($a_D(440) = 2.075 \times (b_{bp}(555))^{1.02}$; $n = 110$, $r = 0.89$, $0.001 < a_D(440) < 0.12$). This relationship was derived from field data collected on eight oceanographic cruises on the western Florida Shelf in 2000 and 2001 (J. Cannizzaro, University of South Florida, unpublished data, 2006). We calculated $a_{CDOM}(440)$ by subtracting $a_D(440)$. The $a_{CDOM}(440)$ values were converted to salinity using the relationship $Salinity = 36.1 - 10a_{CDOM}(440)$ ($0 < a_{CDOM}(440) < 3.61 m^{-1}$). This empirical approach is still experimental, but is based on extensive research on the inverse relationship between a_{CDOM} and sea surface salinity [e.g., Ferrari and Dowell, 1998; D'Sa *et al.*, 2002; Hu *et al.*, 2003, 2004]. Unfortunately, no in situ measurements of surface salinity were available to calibrate this relationship in the MBRS during the study period. The purpose, however, is to determine if the model can reproduce the spatial pattern of low-salinity water (river plumes), rather than the absolute salinity of those features.

[13] An InterOcean S4 electromagnetic current meter was moored at 1 km seaward (east) of the MBRS at Gladden Spit (87.95°W, 16.50°N) of Belize during the passage of Hurricane Mitch. The instrument was moored 5 m off the bottom (i.e., at 27 m depth), less than 10 m from the edge of a submarine cliff where the seabed plunges to more than 600 m. The instrument recorded currents, temperature, and salinity for 18 days starting on 22 October 1998 (day 294; Figure 3). Every hour on the hour, the S4 recorded an average of 240 measurements at 2 Hz frequency during a 2 min period. CTD casts were made with a Seabird SBE9 to 70 m depth in deep water adjacent to the current meter, on 5 December 1998, five weeks after the passage of Hurricane Mitch, and again in May 1999, five months later (Figure 4). These data collected at a seamount on the boundary between the deep ocean in the outer Gulf of Honduras and the

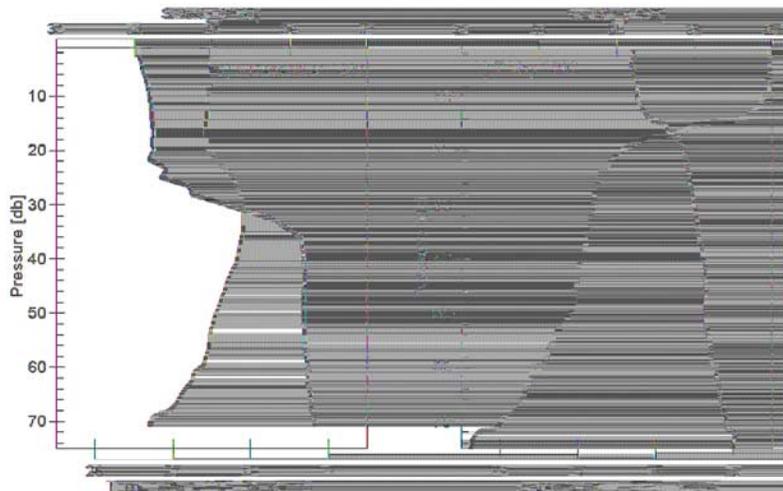


Figure 4. CTD measured salinity and temperature as a function of pressure to ~70 m depth, 2 km east of Gladden Spit at 16.5°W and 87.933°N and (see Figure 1) on: (a) 5 December 1998, 5 weeks after the passage of Hurricane Mitch where surface salinity was reduced to 34.0 psu at 23 m depth, and (b) 7 May 1999, 6 months after the storm when surface salinity had returned to normal values of 35.5 psu.

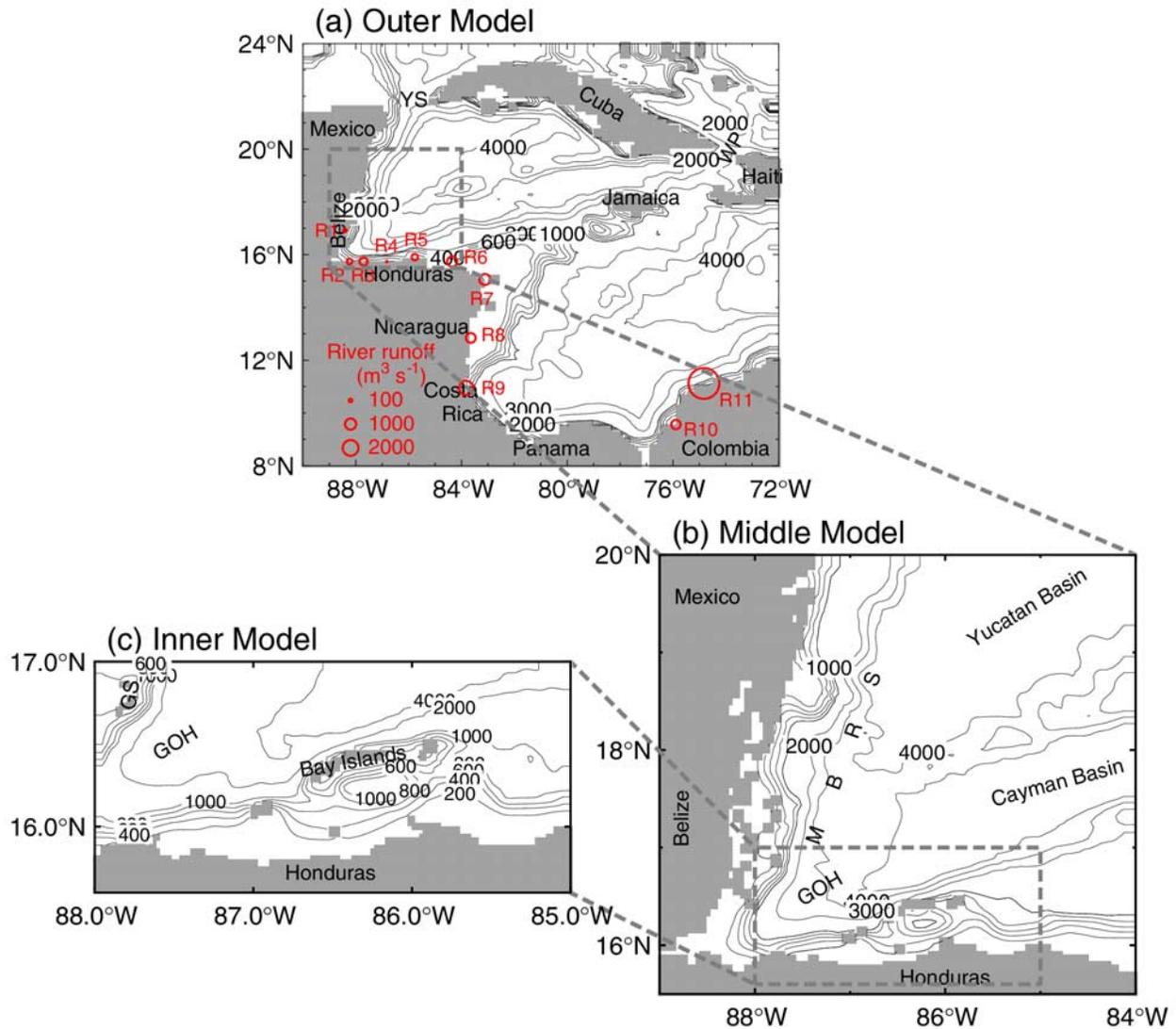


Figure 5. Selected coastal and bottom topographic features for the triply nested-grid modeling system consisting of (a) an outer model covering western Caribbean Sea (WCS), (b) a middle model including the southern Mesoamerican Barrier Reef System (MBRS), and (c) an inner model focused on the north coast of Honduras and Bay Islands. Abbreviations are used for the Mesoamerican Barrier Reef System (MBRS), Yucatan Strait (YS), and Gulf of Honduras (GOH). Isobaths are labeled in units of meters, and open red circles denote the positions of the mouths of 11 major rivers specified in the modeling system. The strength of the annual mean discharge of each river is denoted by the size of each circle.

316 southernmost extent of the contiguous barrier reef, span the
 317 passage of the hurricane and provide the sole Eulerian
 318 validation of the model predictions.

319 3.2. Triply Nested-grid Ocean Circulation 320 Modeling System

321 [14] The numerical model used in this study is the
 322 modified version of the triply nested-grid ocean circulation
 323 modeling system developed by Tang *et al.* [2006], which
 324 was constructed from a primitive-equation z-level model
 325 known as CANDIE (the Canadian version of Diecast)
 326 [Sheng *et al.*, 1998]. CANDIE has been successfully applied
 327 to address various modeling problems in continental shelf
 328 seas, including wind-driven circulation over an idealized

coastal canyon [Sheng *et al.*, 1998], a density-driven coastal
 329 current [Sheng, 2001], and seasonal circulation in the
 330 northwestern Atlantic Ocean [Sheng *et al.*, 2001]. Most
 331 recently CANDIE has been applied to the WCS [Sheng and
 332 Tang, 2003, 2004; Tang *et al.*, 2006], Lunenburg Bay in
 333 Nova Scotia [Sheng and Wang, 2004; Wang *et al.*, 2007],
 334 and Lake Huron and Georgian Bay [Sheng and Rao, 2006].
 335

[15] The nested-grid system has three subcomponents
 336 (Figure 5): a coarse-resolution (~ 19 km) outer model
 337 covering the WCS (72°W – 90°W , 8°N – 24°N), an intermediate-
 338 resolution (~ 6 km) middle model covering the MBRS
 339 (84°W – 89°W , 15.5°N – 20°N), and a fine-resolution
 340 (~ 2 km) inner model covering the northern coast of Hon-
 341 duras and the Bay Islands (85°W – 88°W , 15.6°N – 17°N).
 342

t1.1 **Table 1.** Center Depths and Thicknesses of 28 Z-Levels Used in the Triply Nested, Finite Difference Circulation Modeling System of the MBRS

t1.2	Z-Level	Depth, m	Thickness, m
t1.3	1	1	2
t1.4	2	3	2
t1.5	3	5	2
t1.6	4	7	2
t1.7	5	9	2
t1.8	6	11	2
t1.9	7	13	2
t1.10	8	15	2
t1.11	9	17	2
t1.12	10	19	2
t1.13	11	25	10
t1.14	12	40	20
t1.15	13	75	50
t1.16	14	140	80
t1.17	15	230	100
t1.18	16	340	110
t1.19	17	450	110
t1.20	18	575	150
t1.21	19	725	150
t1.22	20	900	200
t1.23	21	1250	500
t1.24	22	1750	500
t1.25	23	2250	500
t1.26	24	2750	500
t1.27	25	3250	500
t1.28	26	3750	500
t1.29	27	4250	500
t1.30	28	4750	500

343 The time steps are set to 14.4, 5.5, and 2.2 min in the three
 344 submodels respectively. The nested system uses the digital
 345 bathymetric database of 2-min resolution (DBDB2) devel-
 346 oped by the Ocean Dynamics and Prediction Branch, U.S.
 347 Naval Research Laboratory. The boundary definitions of the
 348 middle and inner model domains are selected to focus on the
 349 dispersal patterns of the coastal runoff plumes detected by
 350 the SeaWiFS along the Honduran coast.

351 [16] The three subcomponents of the nested system have
 352 the same 28 unevenly spaced z-levels, with a finest vertical
 353 resolution of 2 m in the top ten levels, and relatively coarse
 354 vertical resolution of about 500 m at depths of greater than
 355 1000 m (Table 1). The nested-grid system is very similar to
 356 the one used by *Tang et al.* [2006], except that (1) the inner
 357 model domain in this study covers the coastal region of
 358 Honduras, the Bay Islands, and Gulf of Honduras; (2) the
 359 vertical resolution of the nested-grid system is finer in the
 360 top 20 m; (3) model external forcing includes a simple
 361 vortex to represent Mitch wind-forcing and buoyancy
 362 forcing associated with river discharges and storm-induced
 363 precipitations in the WCS; and (4) the vertical mixing
 364 scheme suggested by *Price* [1981] is used.

365 [17] The nested-grid system uses the subgrid-scale verti-
 366 cal mixing parameterization suggested by *Price* [1981] for
 367 the vertical eddy viscosity and diffusivity coefficients K_m
 368 and K_h . In this scheme, a scaled velocity (ΔV), defined as
 369 the magnitude of the mean velocity difference across the
 370 base of the upper ocean mixed layer, is used to parameterize
 371 the vertical mixing coefficients. This led to realistic storm
 372 simulations showing a stronger sea surface temperature
 373 response to the right of the storm track [*Sheng et al.*,
 374 2006]. The horizontal mixing scheme of *Smagorinsky*
 375 [1963] with a coefficient of 0.1 is used to parameterize

the horizontal eddy viscosity and diffusivity coefficients 376
 (A_m , A_h), which are related to the model grid spacing (Δx , 377
 Δy), and velocity shear and strain in the horizontal direc- 378
 tion. Since the scheme discussed by *Smagorinsky* [1963] is 379
 resolution-dependent, the parameterization of horizontal 380
 mixing is different in each submodel of the nested system. 381
 The nested system also uses the fourth-order numerical 382
 technique [*Dietrich*, 1997] and flux limiter to discretize 383
 the nonlinear advection terms [*Thuburn*, 1996]. 384

[18] The two-way nesting technique based on the 385
 smoothed semiprognostic method developed by *Sheng et* 386
al. [2005] is used to exchange information between three 387
 subcomponents of the nested-grid system. A free-slip 388
 boundary condition is used at lateral solid boundaries in 389
 the three subcomponents of the system. Along the open 390
 boundaries of each subcomponent, the normal flow, tem- 391
 perature and salinity fields are updated using adaptive open 392
 boundary conditions [*Marchesiello et al.*, 2001]. The depth- 393
 mean normal flows across the outer model open boundaries 394
 are set to be the monthly mean results produced by a $(1/3)^\circ$ 395
 Atlantic model based on FLAME. The outer (middle) model 396
 results are used to specify the boundary conditions along the 397
 open boundaries of the middle (inner) models. 398

3.3. Initial Condition and External Forcing 399

[19] The nested-grid circulation system is initialized with 400
 the monthly mean climatology of temperature and salinity in 401
 January constructed from hydrographic observations at the 402
 standard z-levels extracted from the World Ocean Database 403
 1998 compiled by the U.S. National Oceanic and Atmo- 404
 spheric Administration's National Oceanographic Data Center 405
 (NOAA-NODC), using the objective analysis technique 406
 known as Barnes' algorithm [*Geshelin et al.*, 1999]. 407

[20] In the first 294 days (i.e., from 1 January to 21 October 408
 1998) of model integrations prior to the arrival of Mitch in the 409
 MBRS, the nested-grid system is forced by 6-hourly wind 410
 stress, monthly mean heat and freshwater fluxes at the sea 411
 surface, and climatologically time-mean freshwater dis- 412
 charges from 11 major rivers in the WCS. The wind stress 413
 is derived from 6-hourly wind velocity extracted from the 414
 National Centers for Environmental Prediction (NCEP) and 415
 the National Center for Atmospheric Research (NCAR) 416
 40 year reanalysis (known as NCEP/NCAR data set [*Kalnay*
et al., 1996]). The conventional bulk formula of *Large and* 418
Pond [1981] is used to convert NCEP/NCAR wind velocities 419
 to wind stresses, except that the drag coefficient is set to a 420
 constant of 2.2×10^{-3} if the NCEP/NCAR wind speed is 421
 greater than 33 m s^{-1} [*Powell et al.*, 2003]. 422

[21] The net heat flux through the sea surface Q_{net} is 423
 expressed according to *Barnier et al.* [1995]: 424

$$Q_{net} = Q_{net}^c + \gamma(SST^c + SST^m) \quad (1)$$

where Q_{net}^c is the monthly mean net heat flux [*da Silva et* 426
al., 1994], SST^c is the monthly mean sea surface 427
 temperature climatology, SST^m is the model calculated sea 428
 surface temperature, and γ is the coupling coefficient 429
 defined as $\Delta z_1 \rho_0 c_p / \tau_Q$, where Δz_1 is the thickness of the top 430
 z-level, c_p is the specific heat, and τ_Q is the restoring 431
 timescale which is set to 10 days. The model sea surface 432
 salinity is also restored to the monthly mean climatology 433
 with the same restoring timescale. 434

t2.1 **Table 2.** Estimated Drainage Areas and Average Discharge of 11 Major Rivers in the Western Caribbean Sea, and Estimated Peak Discharge of Five Major Rivers in Honduras and Guatemala During Mitch in 1998^a

t2.2	River/Country	Drainage Area, km ²	Average Discharge, m ³ s ⁻¹	Peak Discharge During Mitch, m ³ s ⁻¹
t2.3	Sarstún and Dulce/Belize-Guatemala	6352(4)–10,604(6)	96(5)–333(6)	-
t2.4	Motagua/Guatemala	16,544(6)	165(6)–186(7)	24,219(5)
t2.5	Ulua/Honduras	25,710(6)	334(6)–526(1)	32,838(3)
t2.6	Cangrejal-Bonito/Honduras	564(3)–717(6)	7(6)–16(5)	10,390(3)
t2.7	Aguan/Honduras	10,580(2)–10,684(6)	108(6)–300(5)	27,939(3)
t2.8	Patuca/Honduras	23,064(6)–25,600(1)	239(6)–825(1)	28,672(3)
t2.9	Coco/Honduras-Nicaragua	26,700(1)	950(1)	-
t2.10	Grande de Matagalpa/Nicaragua	19,700(1)	762(1)	-
t2.11	San Juan/Nicaragua-Costa Rica	38,900(1)	1,620(1)	-
t2.12	Simu/Colombia	4200(1)	700(1)	-
t2.13	Magdalena/Colombia	235,000(1)	7500(1)	-

t2.14 ^aData sources for the estimations are given in parentheses: (1) *United Nations Environment Programme Chemicals* [2002]; (2) *Mastin and Olsen* [2002]; (3) *Smith et al.* [2002]; (4) taken from: http://www.biodiversity.bz/find/watershed/profile.phtml?watershed_id=3 (only the drainage area within Belize considered); (5) estimated using the observations of the nearby rivers; (6) *Burke and Zugg* [2006]; (7) *Thattai et al.* [2003].

435 [22] Eleven major rivers are specified in the top z-level of
 436 the nested-grid system (see Figure 5 for positions of river
 437 mouths). Each river is approximated to be one grid cell wide
 438 at the river mouth and 3, 5 and 10 grid cells long (i.e., up-
 439 stream) in the outer, middle model, and inner submodels,
 440 respectively. The climatological time-mean discharge of
 441 each river derived from estimates made by *Mastin and*
 442 *Olsen* [2002], *United Nations Environment Programme*
 443 *Chemicals* [2002], *Thattai et al.* [2003], and *Burke and*
 444 *Zugg* [2006] (Table 2) is applied for the first 294 days of the
 445 model run to 21 October 1998 (prior to the hurricane).
 446 Among these rivers, the Magdalena River in Colombia has
 447 the largest time-mean discharge ($\sim 7.5 \times 10^3 \text{ m}^3 \text{ s}^{-1}$) and
 448 the combination of the Cangrejal and Bonito Rivers in
 449 Honduras has the smallest ($\sim 16 \text{ m}^3 \text{ s}^{-1}$). The discharge
 450 of each river is specified in the term for vertical velocity at
 451 the bottom of the grid cell located at the head (i.e., most
 452 inland grid cell) of the river. On the basis of the salt
 453 conservation, the model salinity (S_r^n) at the river head in
 454 the model is specified as

$$S_r^n = \frac{S_r^{n-1} \cdot V_c + S_0 \cdot V_r}{V_c + V_r}, \quad (2)$$

456 where S_r^{n-1} is the model salinity at the head in the previous
 457 time step; S_0 is the salinity at the head, which is set to
 458 0.4 psu; V_c is the volume of the model cell at the head; and
 459 V_r is the volume of freshwater discharge from the river
 460 during one time step. This specification allows the buoyant,
 461 estuarine waters to flow freely into the WCS with the model
 462 salinity at the river mouth varying according to the strength
 463 of the river discharge.

464 [23] During the next 20 days of model simulations from
 465 22 October to 10 November, the nested-grid system is forced
 466 by three additional terms associated with the storm. The first
 467 is a simple vortex to represent storm wind stress associated
 468 with Mitch (C. Fogarty, personal communication, 2007),

$$\tau(r) = \begin{cases} \tau_{\max} \frac{r}{r_{\min}} & r < r_{\min} \\ \tau_{\max} \frac{r_{\max} r_{\min}}{r_{\max} r_{\min}} \left(\frac{1}{r} - \frac{1}{r_{\max}} \right) & r_{\min} \leq r \leq r_{\max} \\ 0 & r > r_{\max} \end{cases}, \quad (3)$$

where $\tau(r)$ is the cyclonic wind stress as a function of radius
 470 r with respect to the center of the moving storm, τ_{\max} is the
 471 amplitude of the maximum wind stress located at r_{\min} , and
 472 r_{\max} is the outer radius where τ vanishes. Here r_{\min} is set to
 473 20 km and r_{\max} to 300 km based on the satellite images
 474 collected during Hurricane Mitch. Here τ_{\max} is the
 475 maximum wind stress calculated from the observed
 476 maximum sustained wind speed provided by the U.S.
 477 Southeast Regional Climate Center (SERCC). The realistic
 478 storm track provided by SERCC (Figure 1) is also used in
 479 the study, with the instantaneous translational speeds of
 480 Hurricane Mitch calculated from the 6-hourly SERCC
 481 storm track data.
 482

[24] Figure 6 shows the combination of the NCEP/
 483 NCAR wind stress and the parameterized vortex at four
 484 different times during Mitch. On day 295.5 (1200 UTC
 485 23 October), the vortex is located over the southwestern
 486 Colombian Basin, with a maximum wind stress of $\sim 1 \text{ N}$
 487 m^{-2} (Figure 6a). On day 298.5 (1200 Universal Time
 488 Coordinated (UTC) 26 October) the vortex reaches the
 489 northern flank of the Nicaragua Rise (Figure 6b), with a
 490 maximum stress of about 10 N m^{-2} . The vortex
 491 approaches the northern coast of Honduras and made
 492 landfall during the early morning of 29 October, with a
 493 maximum wind stress of $\sim 2.5 \text{ N m}^{-2}$ (Figure 6c). On day
 494 304.5 (1200 UTC 1 November), the combined wind stress
 495 is relatively uniform and roughly westward at $\sim 0.1 \text{ N m}^{-2}$
 496 in the WCS except for the southern MBRS and south-
 497 western Columbian Basin. The combined wind stress in
 498 the southern MBRS is roughly northwestward on day 301
 499 (Figure 6d).
 500

[25] The second additional term is the buoyancy forcing
 501 associated with Mitch-induced precipitation on the ocean
 502 surface. Figure 7 shows the daily mean precipitation in
 503 the WCS during Mitch interpolated from the $1^\circ \times 1^\circ$
 504 global precipitation data set constructed by *Huffman et al.*
 505 [2001] from multisatellite observations. On day 295.5, the
 506 storm-induced rainfall was heavy over the southeastern
 507 Colombian Basin and light over other regions of the
 508 WCS. On day 298.5 heavy rainfall occurred over the
 509 northern Caribbean Sea with a maximum of $\sim 90 \text{ mm d}^{-1}$
 510 (Figure 7b). The daily mean precipitation was about 20 to
 511 30 mm d^{-1} over the southern MBRS just before Mitch
 512 made landfall (Figure 7c). Since evaporation was relatively
 513

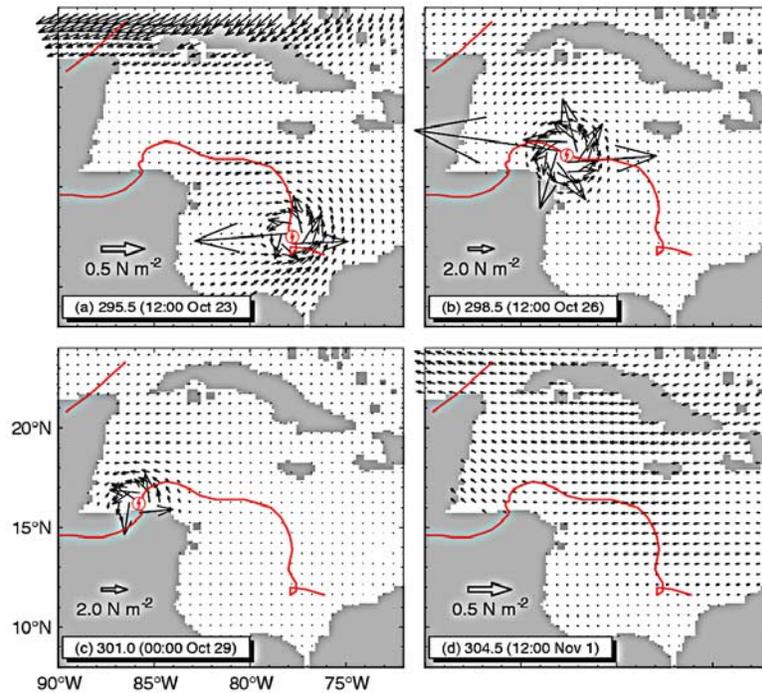


Figure 6. Combined wind stress based on 6-hourly NCEP/NCAR fields and a simple vortex at (a) day 295.5 (1200 UTC 23 October), (b) day 298.5 (1200 UTC 26 October), (c) day 301.0 (0000 UTC 29 October), and (d) day 304.5 (1200 UTC 1 November) during Hurricane Mitch in 1998. Wind stress vectors are plotted at every third model grid of the outer model.

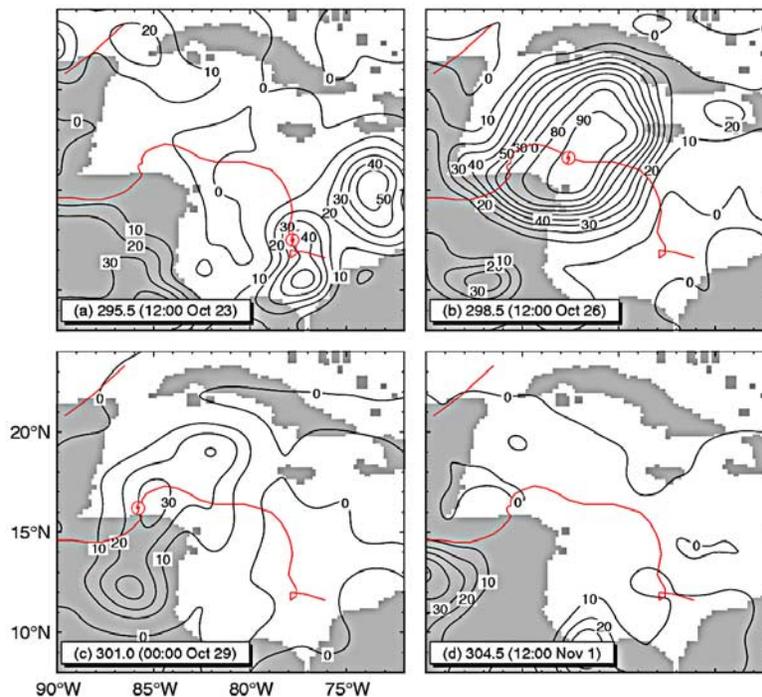


Figure 7. Daily mean precipitation during Hurricane Mitch, extracted from the data set produced by *Huffman et al.* [2001] at: (a) day 295.5 (1200 UTC 23 October), (b) day 298.5 (1200 UTC 26 October), (c) day 301.0 (0000 UTC 29 October), and (d) day 304.5 (1200 UTC 1 November) of 1998. Contour interval is 10 mm/day.

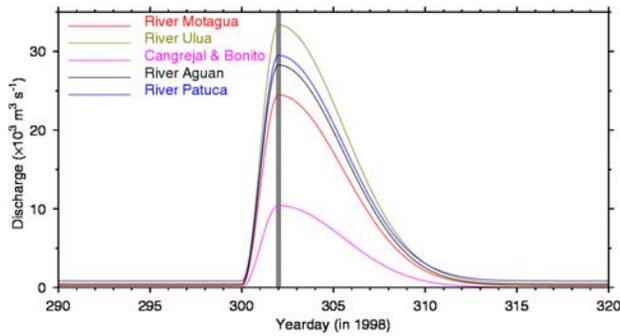


Figure 8. Modeled time series of freshwater discharge from 5 major rivers in Honduras (see Figure 5) to the southern Mesoamerican Barrier Reef System during Hurricane Mitch.

514 small in comparison with heavy precipitation in the WCS
515 during Mitch, the model salinity in the top z-level affected
516 by storm precipitation (S_1^n) can be estimated by

$$S_1^n = \frac{\hat{S}_1^n \cdot \Delta z_1 + S_{00} \cdot \Delta z_p}{\Delta z_1 + \Delta z_p}, \quad (4)$$

518 where \hat{S}_1^n is the model salinity in the top z-level before the
519 modification; S_{00} is the salinity of rainwaters, which is set to
520 0; Δz_1 is the thickness of the top z-level; and Δz_p is the
521 thickness of the rainfall during one time step.

522 [26] The third additional term is buoyancy forcing associated
523 with storm-induced discharge from 5 major rivers in
524 Honduras and Guatemala (i.e., the Motagua, Ulua, Can-
525 greja, Bonito, and Aguan Rivers; see Table 2 and Figure 5)
526 during Mitch. The peak discharge (estimated from indirect
527 measurements [see *Smith et al.*, 2002]) from the five major
528 rivers during Mitch was $\sim 1.3 \times 10^5 \text{ m}^3 \text{ s}^{-1}$; about 70 times
529 larger than the climatological mean discharge of $\sim 1.9 \times$
530 $10^3 \text{ m}^3 \text{ s}^{-1}$ (Table 2). Since there were no direct river gauge
531 measurements, time series of the storm-induced runoff from
532 these five rivers are constructed (Figure 8) by assuming the
533 Mitch-induced floods started on day 300.0, reached the
534 peak discharge on day 302.0 and then decreased exponen-
535 tially with an e-folding time of 5 days.

536 3.4. Numerical Experiments

537 [27] Five numerical experiments (Table 3) are conducted
538 to examine the sensitivity of the nested-grid system to the
539 buoyancy forcing associated with river runoff along the
540 coastal boundary and storm-induced precipitation over
541 the open water of the WCS. These experiments are run
542 for the 20-day period from 22 October to 10 November as
543 follows.

544 [28] 1. In the control run (Exp-Control) the nested-grid
545 system is forced by the combined wind stress (i.e., the
546 combination of the 6-hourly NCEP/NCAR wind stress and
547 the parameterized vortex), monthly mean sea-surface heat
548 and freshwater fluxes, storm-induced precipitation in the
549 open ocean of the WCS, and combined freshwater dis-
550 charge from 11 major rivers (i.e., the combination of Mitch-
551 induced runoff from 5 major rivers in Honduras and
552 Guatemala and time-mean runoff from 6 other major rivers
553 in the WCS).

[29] 2. In the normal run (Exp-Norm) the system is forced
554 by monthly mean sea-surface heat and freshwater fluxes,
555 6-hourly NCEP/NCAR wind-forcing and time-mean dis-
556 charge from 11 rivers in the WCS but without the param-
557 eterized vortex associated with Mitch and without buoyancy
558 forcing associated with storm-induced precipitation and
559 storm-induced river runoff. Since the horizontal resolution
560 of the NCEP/NCAR reanalysis data is $\sim 200 \text{ km}$ in the
561 WCS, which is too coarse to resolve Hurricane Mitch, the
562 model results in Exp-Norm are used to represent the ocean
563 circulation without the storm effect. 564

[30] 3. In the extreme run (Exp-bigRunoff) the model
565 forcing in this run is the same as in the control run except
566 for much stronger (maximum estimates, Table 2) freshwater
567 discharge from the 5 major rivers in Honduras and Guate-
568 mala. The same river flooding start time and peak values
569 before day 302 are used in this run, but they decrease more
570 slowly with an e-folding time of 10 days rather than 5 days
571 used in the control run. 572

[31] 4. In the average run (Exp-AvgRunoff) the model
573 forcing is the same as in the control run except that the time-
574 mean river discharge estimated during Mitch is applied for
575 the 20-day period. 576

[32] 5. In the dry run (Exp-noRain) the model forcing is
577 the same as in the control run except for the exclusion of the
578 storm-induced precipitation (Table 3). 579

[33] All other model parameters are the same in the five
580 experiments. The model results presented in section 4 are
581 those produced by the system in the control run except
582 where otherwise noted. 583

584 4. Model-Calculated Upper Ocean Response to Hurricane Mitch

585 4.1. Simulated Ocean Currents

586 [34] At day 295.5 (1200 UTC October 23) the param-
587 eterized vortex is located in the southern Colombian Basin,
588 and the simulated (control run) near-surface circulation in a
589 radius of approximately 100 km around the storm center is
590 characterized by divergent currents of $\sim 1 \text{ m s}^{-1}$ (Figure 9).
591 Outside this area of influence the near-surface circulations
592 are 593

Table 3. List of Five Numerical Experiments Forced by the
594 Different Combination of the 6-Hourly NCEP/NCAR Wind Stress,
595 Monthly Mean Heat and Freshwater Fluxes, Climatologically
596 Time-Mean Freshwater Discharge From 12 Major Rivers, a
597 Parameterized Vortex Associated With Mitch, Storm-Induced
598 Freshwater Discharge From Five Major Rivers in Honduras and
599 Guatemala, and Storm-Induced Precipitation During Mitch^a

Name of Run	External Forcing	
Exp-Control	NCEP + MF + avgRiver + Vortex + Flood + Precipitation	t3.2
Exp-Norm	NCEP + MF + avgRiver	t3.3
Exp-bigRunoff	NCEP + MF + avgRiver + Vortex + Flood + Precipitation	t3.4
Exp-avgRunoff	NCEP + MF + avgRiver + Vortex + Precipitation	t3.5
Exp-noRain	NCEP + MF + avgRiver + Vortex + Flood	t3.6
		t3.7

^aNotation: 6-hourly NCEP/NCAR wind stress, NECP; monthly mean
600 heat and freshwater fluxes, MF; climatologically time-mean freshwater
601 discharge from 12 major rivers, avgRiver; parameterized vortex associated
602 with Mitch, Vortex; storm-induced freshwater discharge from five major
603 rivers in Honduras and Guatemala, Flood; storm-induced precipitation,
604 Precipitation. 605

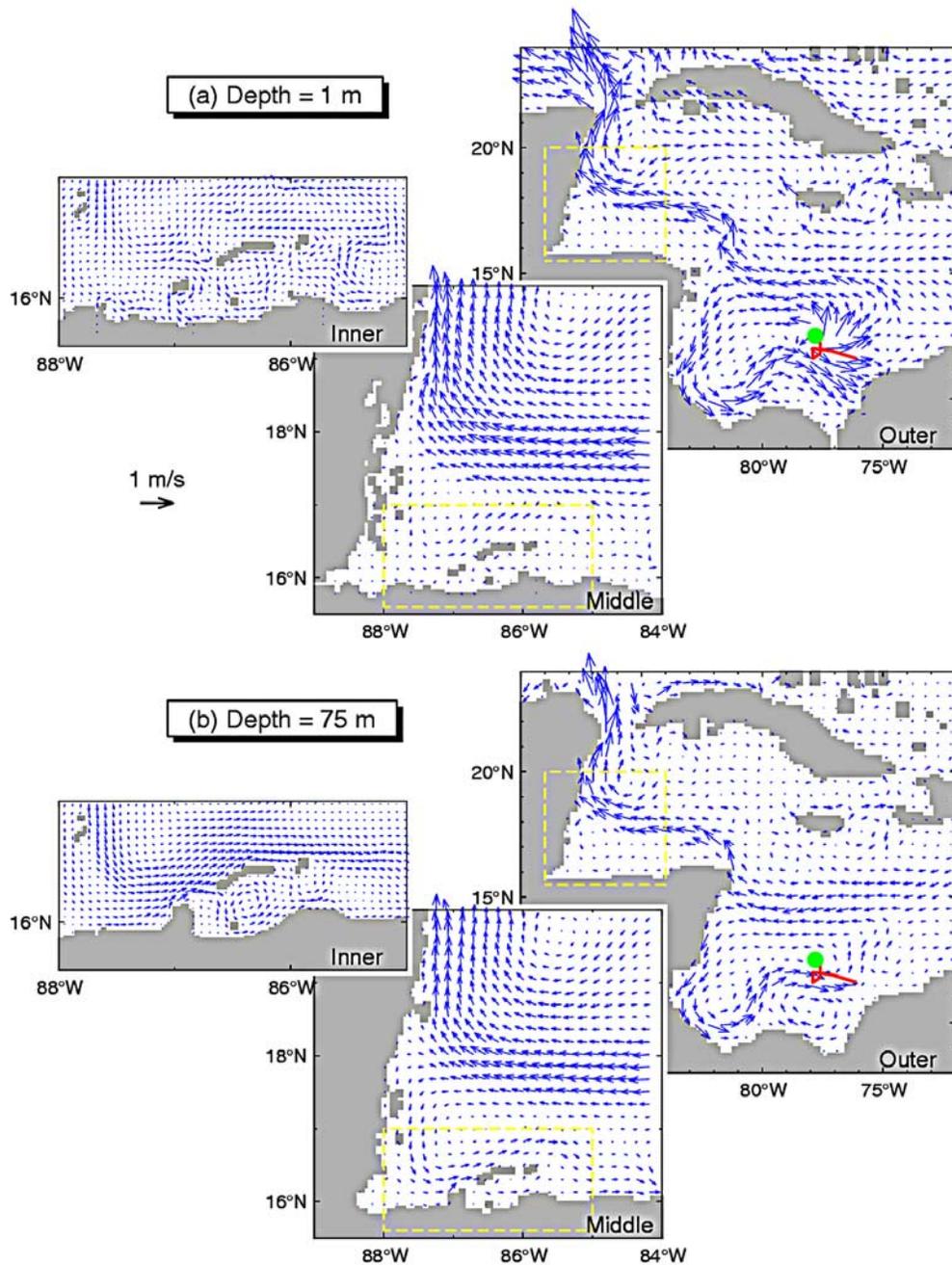


Figure 9. Simulated currents in the control run of the three-submodel system at: (a) 1 m and (b) 75 m at day 295.5 (1200 UTC 23 October) of 1998 when Hurricane Mitch intensified quickly from a tropical depression to a hurricane with sustained wind speeds of about 95 km h^{-1} in the southern Caribbean Sea. The red line represents the storm track, and the solid green circle represents the location of the storm center at this time. Velocity vectors are plotted at every third model grid point.

594 simulated by the middle and outer submodels are similar to
 595 the normal (no storm) conditions, which are characterized
 596 by a relatively broad, westward flow associated with the
 597 Caribbean Current in the northern and central Colombian
 598 Basin. This flow bifurcates near the Nicaragua Rise, with
 599 the main branch turning northwestward onto the southern
 600 MBRS; and a weak branch veering southwestward to feed
 601 the cyclonic Panama-Colombia Gyre over the southwestern

Colombian Basin [Moers and Maul, 1998; Sheng and
 Tang, 2003, 2004]. As yet unaffected by Mitch, the typical
 Caribbean Current flows northwestward from the Nicaragua
 Rise to the continental shelf off southeastern Mexico, and
 then turns northeastward along the east coast of the Yucatan
 Peninsula [Ezer et al., 2005; Tang et al., 2006].

[35] The simulated subsurface (75 m) circulation on 22
 and 23 October (days 294 and 295) is not significantly

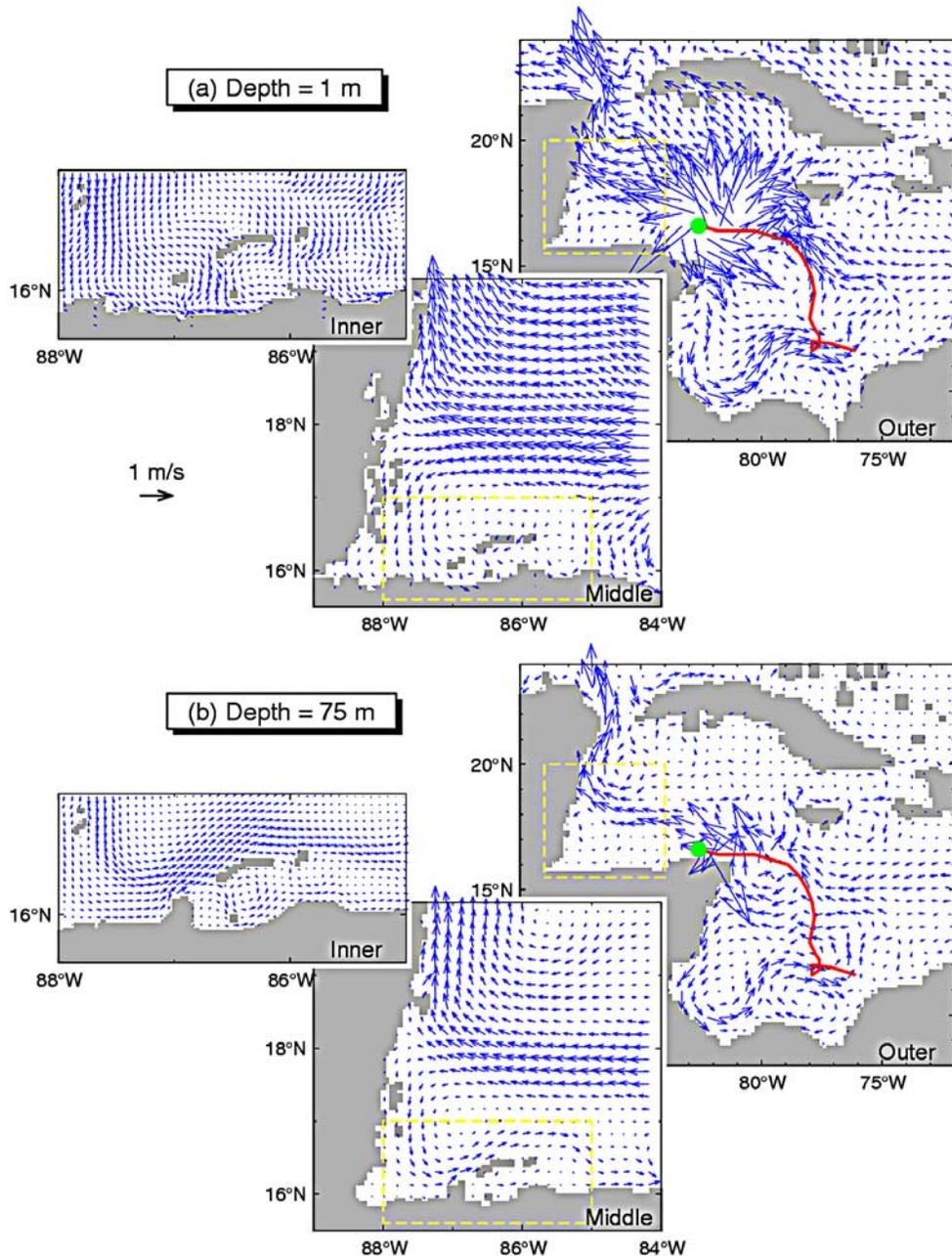


Figure 10. Simulated currents in the control run of the three-submodel system at: (a) 1 m and (b) 75 m at day 298.5 (1200 UTC 26 October) of 1998 when Mitch strengthened significantly with a maximum sustained wind speed of about 290 km h^{-1} over the Nicaragua Rise of the western Caribbean Sea. The red line represents the storm track, and the solid green circle represents the location of the storm center at this time. Velocity vectors are plotted at every third model grid point.

610 affected by Mitch (Figure 9b) because little storm-induced
 611 energy has penetrated into deep layers. The deeper flow at
 612 this time is westward over the northern Colombian Basin,
 613 with a large cyclonic recirculation over the southwestern
 614 Basin and several small-scale gyres near the coastal waters
 615 off Panama and Colombia (Figure 9b). Part of the westward
 616 flow runs into the central Cayman Basin through the outer
 617 flank of the Nicaragua Rise, which turns gradually into the
 618 central MBRS, and then veers anticyclonically to form an

intense, narrow coastal jet running northward along the east
 619 coast of the Yucatan Peninsula. 620

[36] At day 298.5 (1200 UTC October 26) the vortex
 621 reaches the northern flank of the Nicaragua Rise, and the
 622 simulated near-surface currents in the WCS are significantly
 623 affected by the vortex (Figure 10a). At this time the model
 624 results are characterized as intense, divergent currents under
 625 the storm over the Cayman Basin, and strong near-inertial
 626 currents in the wake of the storm over the northern Colom-
 627

628 bian Basin. These results are consistent with previous
629 studies of storm-induced circulations [Chang and Anthes,
630 1978; Price, 1981; Greatbatch, 1983; Sheng et al., 2006].
631 The vortex also induces a broadly westward flow exceeding
632 0.5 m s^{-1} velocity in the central region of the MBRS. Most
633 of this flow turns northward along the east coast of Mexico,
634 and the rest veers cyclonically to form a gyre in the GOH.
635 Strong, southward coastal currents are predicted on the
636 inner Belize shelf in the middle and inner submodels, with
637 near-surface currents converging on the Honduran coast
638 south of the Bay Islands (Figure 10a).

639 [37] The maximum subsurface currents at 75 m depth on
640 day 298.5 produced by the outer model are $\sim 3 \text{ m s}^{-1}$ over
641 the northwestern flank of the Nicaragua Rise (Figure 10b),
642 showing the impact of the vortex on the circulation in the
643 northwestern Colombian Basin and southern Cayman Bas-
644 sin. The subsurface circulations in the central and southern
645 MBRS on day 298.5 and day 295.5 are very similar,
646 indicating that the storm-generated energy has not penetrated
647 very deep in the region.

648 [38] As the vortex approaches the north coast of Hondu-
649 ras on October 29, the nested-grid outer model produces
650 intense, divergent near-surface currents of $\sim 4 \text{ m s}^{-1}$ be-
651 tween the Bay Islands and the Honduran coast, strong
652 northwestward currents in the western Yucatan Basin,
653 and intense northward flow through the Yucatan Strait
654 (Figure 11a). Our results are consistent with previous
655 findings of Oey et al. [2006]. They demonstrated that the
656 northward transport across the Yucatan Strait can be signif-
657 icantly modified by a Caribbean hurricane. The middle and
658 inner models generate stronger near-surface currents in the
659 southern MBRS than does the outer model (Figure 11a),
660 which is expected. Westward and northwestward currents of
661 $\sim 2 \text{ m s}^{-1}$ occur in the central MBRS and a strong,
662 southwestward jet is apparent over the Belize shelf. The
663 model results also demonstrate the significant influence of
664 the vortex on circulations at 75 m depth on day 301.0
665 (Figure 11b). Energy imparted by the vortex disturbs the
666 subsurface circulation in the southern MBRS and off the
667 Yucatan coast by this time. The middle and inner submodels
668 generate strong, southward currents at depth on the Belize
669 shelf, and complicated subsurface circulation features in the
670 coastal waters around the Bay Islands.

671 [39] On day 304.5 (1200 UTC 1 November) about 3 days
672 after landfall, the near-surface and subsurface circulations
673 produced by the outer model still have strong, near-inertial
674 currents along the storm track, particularly adjacent to the
675 right side (Figure 12a). Broad, approximately northwest-
676 ward currents are simulated for the central MBRS, with
677 strong, eastward coastal currents north of Honduras and
678 around the Bay Islands, and exceptional northerly flow
679 velocities through the western Yucatan Strait.

680 [40] An important characteristic of storm-induced circula-
681 tions is the near-inertial oscillations excited by the distur-
682 bance, which are most energetic to the right of the storm
683 track [Greatbatch, 1983; Sheng et al., 2006]. The effect is
684 demonstrated here using the outer model by comparing the
685 time-depth distributions of eastward components of the
686 velocity in Exp-Control and Exp-Norm model runs from
687 day 294 to 321 (Figure 13) at sites A, B and C over the deep
688 water region between the Honduras Rise and Jamaica
689 (Figure 1). These three sites are on the right side of the

690 storm track and $\sim 180 \text{ km}$ away from the storm center. 690
691 Before day 297.0 these model results do not differ between 691
692 the control and normal runs. After day 297.5 at site A (or 692
693 after day 298.0/299.0 at site B/C), the eastward components 693
694 of the modeled velocity differences have dominant oscil- 694
695 lations in the top 100 m with periods of about 45.0, 42.2, 695
696 39.7 hours respectively at sites A, B and C (Figure 14). 696
697 These surface-intensified oscillations last for more than 20 697
698 days with amplitudes decreasing through time. The periods 698
699 of the dominant oscillations are comparable to, and slightly 699
700 longer than the periods of inertial oscillations defined as 700
701 $2\pi/f$ (where f is the Coriolis parameter) at these three sites, 701
702 namely 40.4 h, 38.4 h and 27.6 h, respectively. The fact that 702
703 the dominant oscillation periods are slightly longer than the 703
704 inertial oscillation periods at these sites can be explained by 704
705 the interaction of the near-inertial oscillations with the 705
706 background currents [Zhai et al., 2005]. 706

707 [41] The currents, temperatures, and salinities simulated 707
708 at a single grid cell in the eleventh (25 m) z-level of the 708
709 middle model (Figure 15) during the storm are consistent in 709
710 pattern and trend with the 18-day time series collected at 27 710
711 m depth at Gladden Spit (Figure 3). Intense, variable 711
712 currents, depressed temperatures in the wake of the storm, 712
713 and decreased salinity associated with fresh water inputs 713
714 from the coast are seen in both the modeled and the 714
715 measured data. The field observations show discernable 715
716 variation at tidal frequencies that was not captured by the 716
717 model, which does not include tidal forcing. Reasons for 717
718 the apparent discrepancies reflect mismatches between the 718
719 spatial and integration timescales, inaccuracies of the model 719
720 external forcing (surface winds and heat/freshwater fluxes), 720
721 and the crude representation of bottom topography around 721
722 the observation site, which lies outside the fine-resolution 722
723 (inner model) domain. The cell dimension of the middle 723
724 model ($6 \text{ km} \times 6 \text{ km}$) does not resolve this structure, and 724
725 the nested-grid system does not include tidal forcing. Direct 725
726 comparisons at this scale are therefore of dubious value. 726
727 Furthermore, the monthly mean climatological sea-surface 727
728 heat is used to drive the model's surface density field, which 728
729 helps explain the differences in the mean values of observed 729
730 and simulated temperature. 730

4.2. Simulated Sea Surface Temperature 731

732 [42] Another important characteristic of the upper ocean 732
733 response to a hurricane is the generation of a cool wake 733
734 behind and to the right of the storm track [Chang and 734
735 Anthes, 1978; Price, 1981; Greatbatch, 1983]. The degree 735
736 of SST cooling appears to be inversely related to the 736
737 hurricane translation speed, with greater cooling by a 737
738 slower moving storm. Simulated near-surface temperatures 738
739 predicted by the outer submodel in the control run 739
740 (Figure 16) was spatially uniform at $\sim 28^\circ\text{C}$ over most of 740
741 the WCS on 23 October (day 295.5) as predicted under 741
742 normal forcing [Sheng and Tang, 2003]. There is a pool of 742
743 cool surface water, however, behind the vortex over the 743
744 southern Colombian Basin (Figure 16a). This feature is 744
745 attributed to the intense vertical mixing associated with 745
746 the storm, the translational speed of which is about 8 km 746
747 h^{-1} on average from noon on 22 October to the evening of 747
748 24 October. Two other cool pools located over the Cam- 748
749 peche Bank off the northern Yucatan Peninsula and in the 749

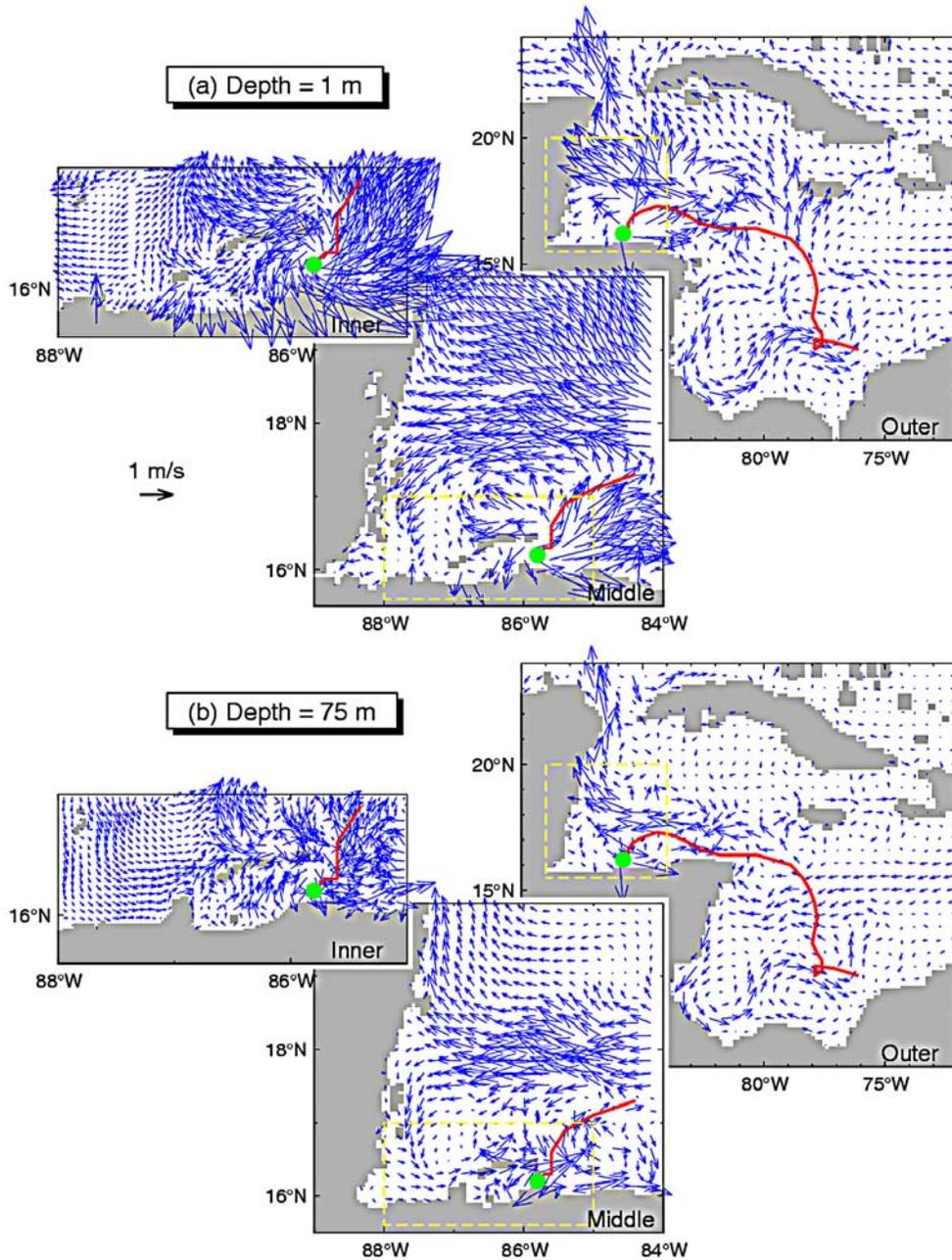


Figure 11. Simulated currents in the control run of the three-submodel system at: (a) 1 m and (b) 75 m at day 301.0 (0000 UTC 29 October), just before Mitch made landfall on the northern Honduras coast with a sustained wind speed of 205 km h^{-1} . The red line represents the storm track, and the solid green circle represents the location of the storm center at this time. Velocity vectors are plotted at every third model grid point.

750 coastal waters off northern Colombia are associated with the
751 intense coastal upwelling [Sheng and Tang, 2003].

752 [43] As the vortex moves northward and then northwest-
753 ward over the next three days at a mean speed of 15 km h^{-1} ,
754 its intensity increases from category 3 to category 4. A
755 narrow strip of near-surface cooling in Colombian Basin
756 and the northern flank of Nicaragua Rise is simulated by the
757 outer model on 26 October (Figure 16b). Besides being
758 more intense to the right of the storm track, the simulated

wake shows significant spatial variability along the track 759
due to variations in the translational speed of the storm. The 760
speed of the storm slows to less than 5 km h^{-1} from 28 to 761
30 October, which results in a new area of simulated SST 762
cooling to $\sim 20^\circ\text{C}$ in the southern MBRS (Figure 16c). More 763
than 3 days after the vortex makes landfall (1 November, 764
day 304.5), the model results still show significant SST 765
cooling effects of a few degrees in the WCS and more in 766
the southern MBRS (Figure 16d). 767

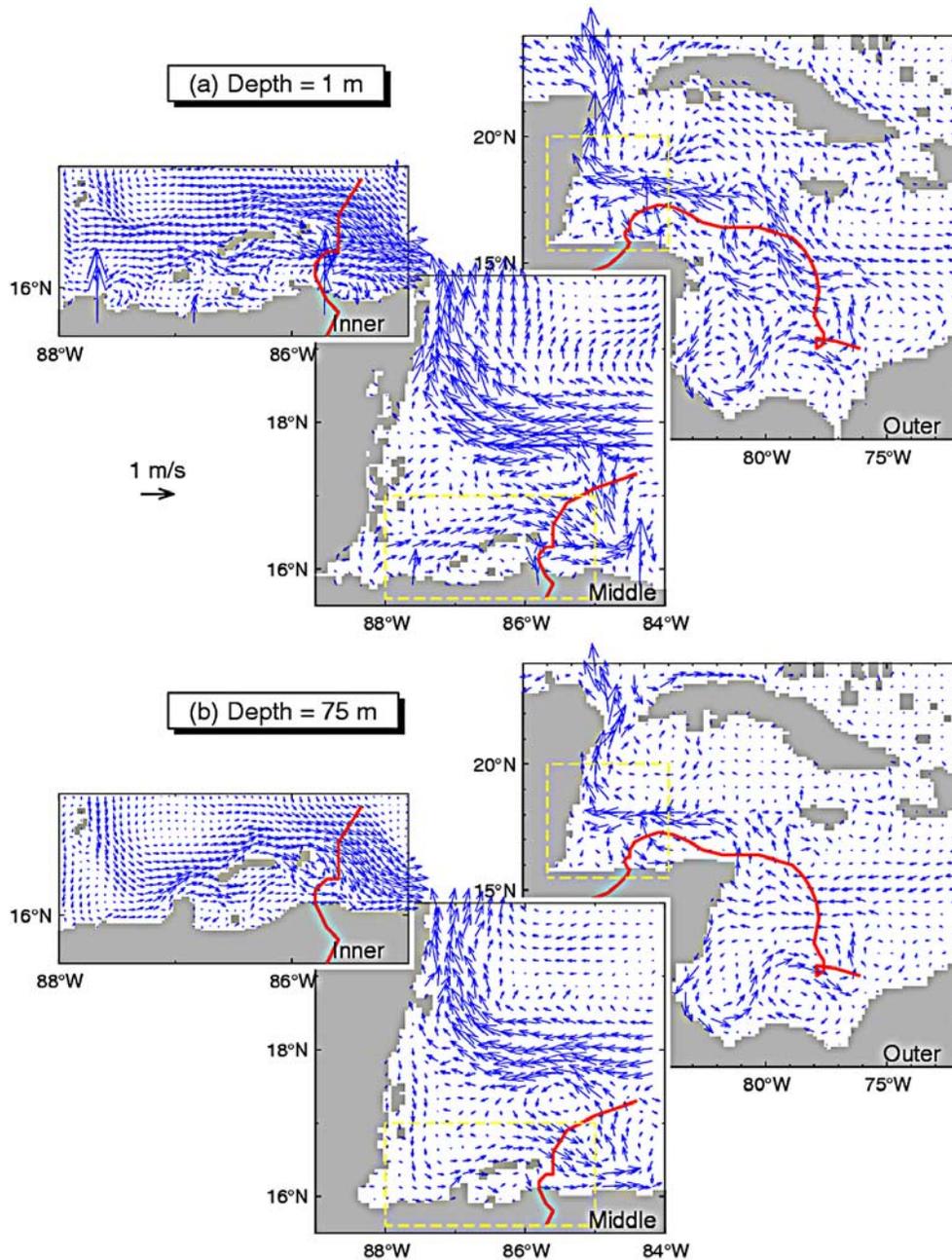


Figure 12. Simulated currents in the control run of the three-submodel system at: (a) 1 m and (b) 75 m at day 304.5 (1200 UTC 1 November) of 1998 when Mitch moved through southwestern Nicaragua and weakened to a tropical depression. The red line represents the storm track. Velocity vectors are plotted at every third model grid point.

768 [44] Differences in simulated near-surface temperature
 769 and currents between the Exp-Control and Exp-Norm model
 770 runs are calculated to quantify the thermal impact of
 771 Hurricane Mitch (Figure 17). As the storm advanced from
 772 day 295.5 to day 301.0, the strength of divergent currents
 773 simulated under the storm intensified by a factor of at least
 774 5, and the amount of SST cooling in the storm's wake and
 775 the width of that cooled wake increased by as much as 36%.
 776 The size of the cool water pool, the magnitude of its
 777 anticyclonic displacement and the frequency of the near-

inertial oscillations all vary within a factor of 3 as a function
 778 of variation in the translational speed of the hurricane
 779 (Figures 17a–17c). Part of the hydrodynamic energy excited
 780 by the storm propagates southward, and following the
 781 passage of the storm overland out of the model domain
 782 the simulated near-inertial currents and near-surface cooling
 783 have largely dissipated and spread to other regions of the
 784 WCS (Figure 17d).
 785

[45] These results are consistent with other published
 786 hurricane simulations and observations. Vertical mixing
 787

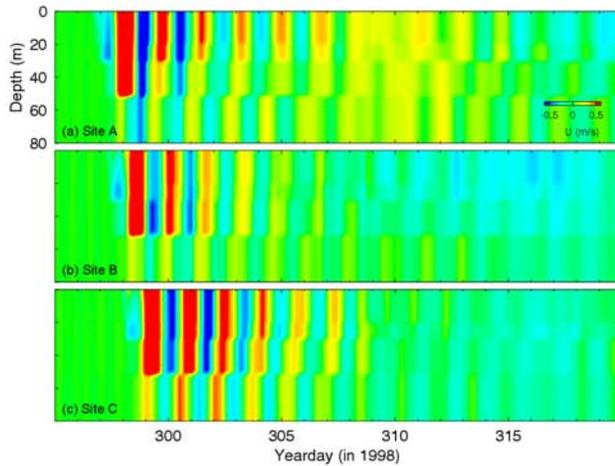


Figure 13. Time-depth distributions of eastward components of velocity differences between Exp-Control (control run) and Exp-Norm at sites A, B, and C produced by the outer model of the nested-grid system. The positions of the three sites are marked in Figure 1.

788 plays a dominant role in the storm-induced SST changes
 789 and the rightward bias behind a storm, while (horizontal and
 790 vertical) advection terms play a very minor role [Sheng *et al.*, 2006]. The rightward bias of the near-inertial currents
 791 and SST cooling behind the storm can be explained largely
 792 by the fact that a more efficient energy transfer from the
 793 storm to the ocean occurs on the right side of the storm
 794 track than that on the left side of the storm track (in the
 795 Northern Hemisphere) [Chang and Anthes, 1978; Price,
 796 1981; Greatbatch, 1983]. This is because the wind stress

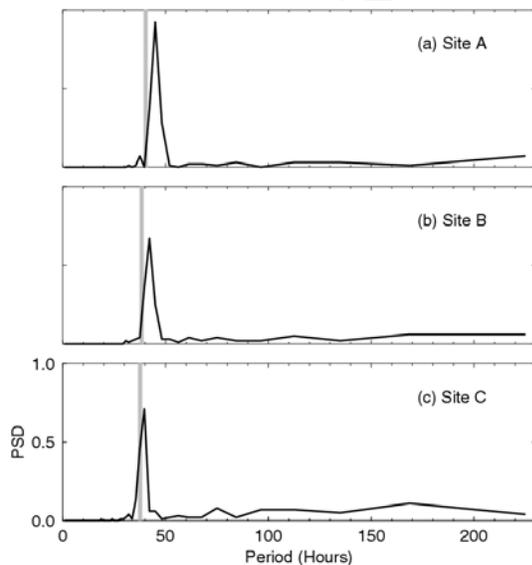


Figure 14. Power density functions at sites A, B, and C calculated from eastward components of the near-surface velocity differences produced by the outer model of the nested-grid system. The shaded line represents the period of inertial oscillation at each site. The positions of the three sites are marked in Figure 1.

veers anticyclonically at a fixed point on the right side of 798
 the storm track as the storm passes by, while the wind 799
 stress veers cyclonically on the left side of the storm track. 800
 The Coriolis term turns the ocean currents in the same 801
 direction as the wind stress on the right side of the storm 802
 track, leading to an efficient transfer of energy from the 803
 storm to the ocean currents. By contrast, on the left side of 804
 the storm track, the ocean currents are turned in the opposite 805
 direction to the wind stress, thereby weakening them. In 806
 addition, water parcels on the right side of the storm are 807
 accelerated by the wind-forcing for a longer time than those 808
 on the left side of the storm. The rightward bias of the 809
 intense, near-inertial currents behind the storm leads to 810
 stronger mixing and entrainment on the right side of the 811
 storm track, which, in turn, is mainly responsible for the 812
 rightward bias of SST cooling. 813

4.3. Simulated Near-Surface Salinity and River Plumes 814

[46] Simulations of buoyancy-driven flows of storm water 815
 inputs at the coastal boundary of the model system are 816
 evaluated by comparing the simulated sea surface salinity 817
 (SSS) in the control run with SSS derived from the 818
 SeaWiFS ocean color data. SeaWiFS images show a river 819
 plume extending from the northeastern Honduran coast to 820
 the deep ocean during Hurricane Mitch (Figure 18a), with a 821
 derived SSS of <35.5 psu. The feature is captured well by 822
 the middle model (Figure 18). Indeed, the SSS measured 823
 2 km east of Gladden Spit on day 338 show that a low- 824
 salinity layer (~34 psu in the upper 23 m, Figure 4a) 825
 persisted for a month after the passage of Hurricane Mitch. 826

[47] The nested-grid middle model approximately simu- 827
 lates two low SSS plumes off the northern coast of Hon- 828
 duras on November 1 (day 304.5), as in the SeaWiFS 829
 images (Figures 18a and 18b). The western plume from 830
 the Ulua, Motagua, Cangrejal, and Bonito rivers spreads 831

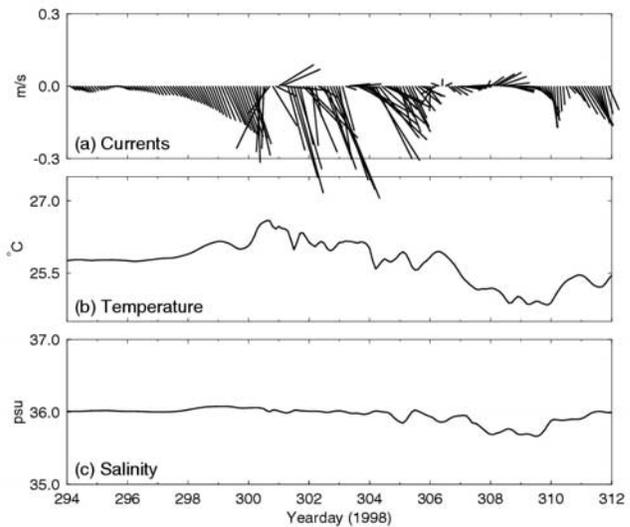


Figure 15. Simulated currents, temperature, and salinity in the 15-m-deep cell (z-level 8) at 87.95°W and 16.5°N, off Gladden Spit at the southern end of the Belize Barrier Reef (see Figure 1) over an 18-day time series (22 October to 8 November) spanning the passage of Hurricane Mitch through the area.

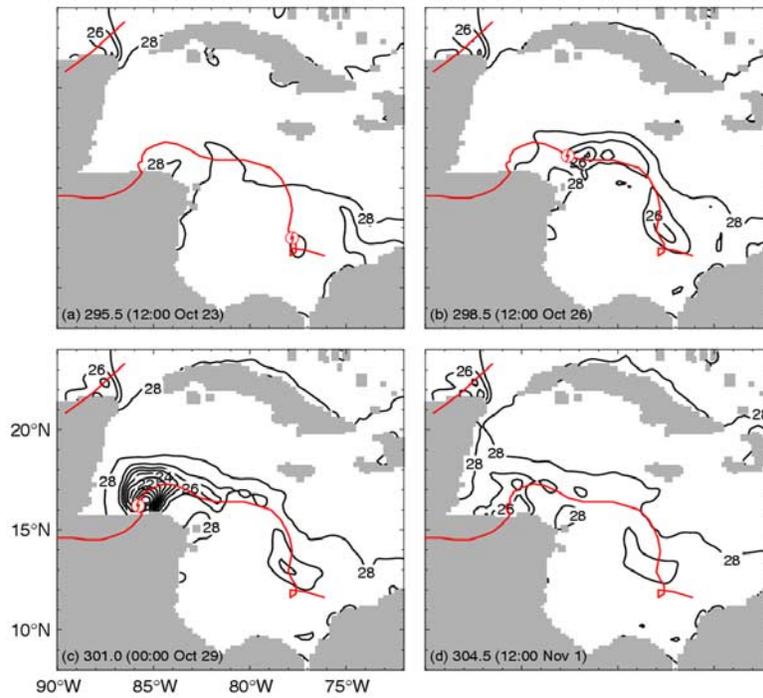


Figure 16. Simulated sea surface temperature (SST) associated with Hurricane Mitch at different times produced by the outer model of the nested system. Contour intervals are 1°C. The red line represents the storm track and the symbol shows the position of the storm center.

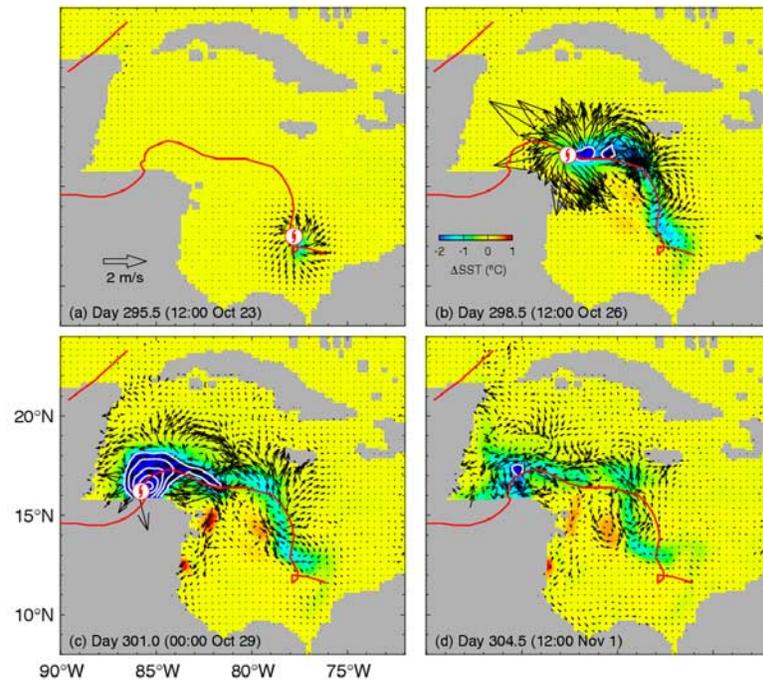


Figure 17. Model-calculated changes in sea surface temperature (Δ SST) and currents associated with Hurricane Mitch at different times produced by the outer model of the nested system. Contour intervals are 2°C. The red line represents the storm track, and the storm symbol represents the location of the storm center. Velocity vectors are plotted at every second grid point.

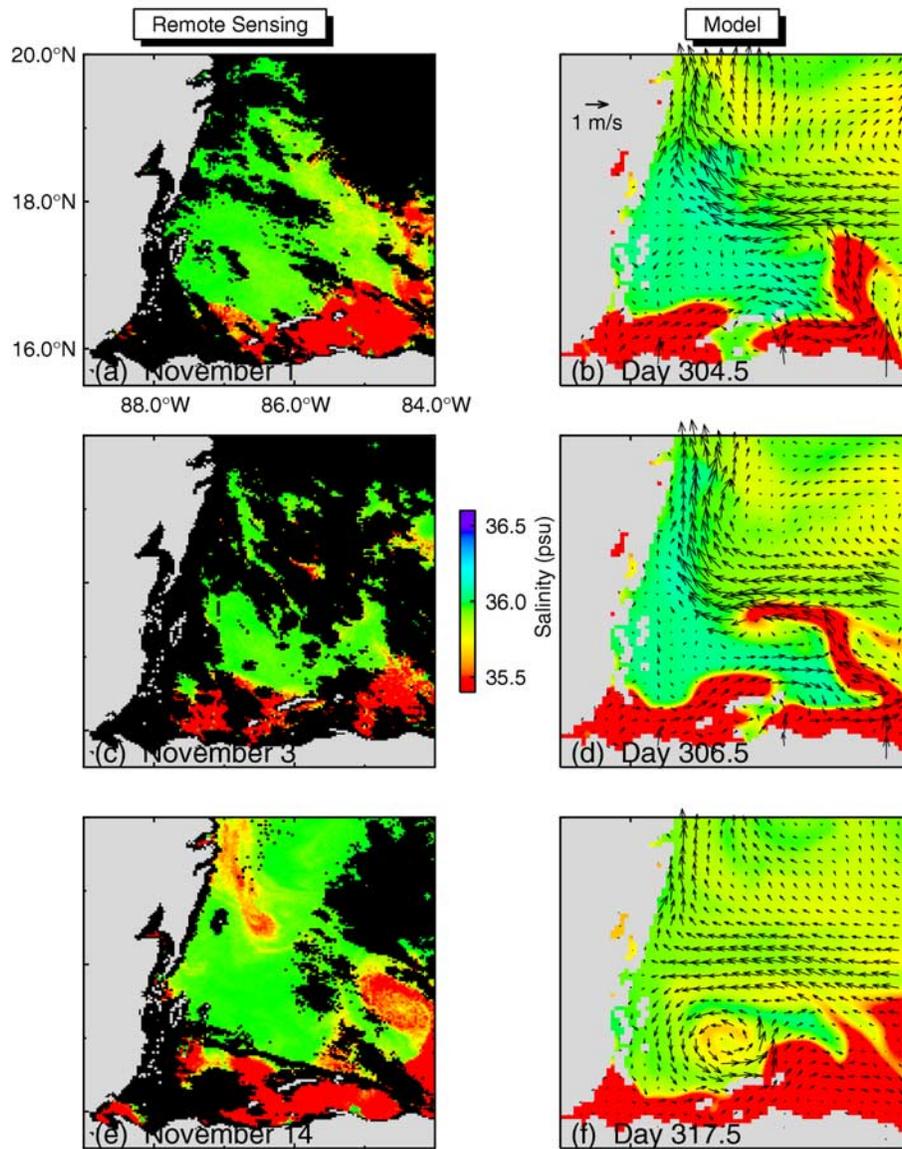


Figure 18. Comparison of spatial patterns of river plumes characterized by the sea surface salinity field between (a, c, e) the SeaWiFS data and (b, d, f) the middle model results on three dates during Hurricane Mitch. Clouds are masked as black color in Figures 18a, 18c, and 18e. Model velocity vectors are plotted at every third grid point.

832 northeastward, reaching the Bay Islands within a day. The
 833 eastern plume from the Aguan and Patuca rivers on the
 834 northeastern Honduran coast also spreads rapidly to interact
 835 with the Caribbean Current in deep water northeast of the
 836 Bay Islands. A backward breaking wave in the upstream
 837 direction along the outer edge of this plume (Figure 18b) is
 838 a typical feature of baroclinic waves on a density front
 839 [Sheng, 2001]. Both the western and eastern plumes con-
 840 tinue to expand and deform in simulations over the next few
 841 days, such that they merge in a pool of low-salinity waters
 842 along the northern coast of Honduras by November 14
 843 (day 317.5), well after the hurricane's passage (Figures 18c–
 844 18f). The leading portion of the eastern plume has separated
 845 from the main body of the plume by this time, entrained in a
 846 cyclonic gyre north of the Bay Islands (Figure 18f). Normal

salinity (>36) was apparently restored in the GoH by 7 May 847
 (Figure 4b), approximately 6 months after the storm. 848

[48] The nested-grid modeling system is insensitive to the 849
 difference in the flood processes specified in Exp-Control 850
 (control run) and Exp-bigRunoff before day 305.0, but large 851
 differences occur between the two runs in the model- 852
 calculated SSS and the estuarine plumes by day 327.5 853
 (Figures 19a and 19b). The eastern plume produced by 854
 the outer model in Exp-bigRunoff is unrealistically large 855
 in comparison with the SeaWiFS imagery [Andréfouët 856
et al., 2002], while the river plumes produced by the Exp- 857
 avgRunoff model run are unrealistically small (Figures 19a 858
 and 19c). The control run seems to be the best in simulating 859
 salinity patterns within the plumes, with relatively lower 860
 SSS in the northeastern part of the middle model domain 861

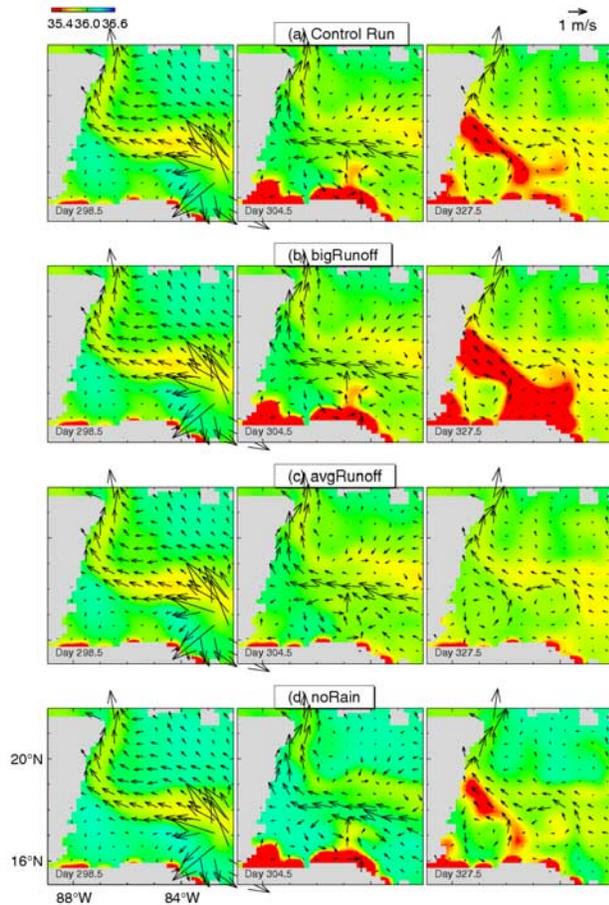


Figure 19. Near-surface salinity fields produced by the outer model of the nested-grid system in four experimental runs (see Table 3): (a) Exp-Control, (b) Exp-bigRunoff, (c) Exp-avgRunoff, and (d) Exp-noRain. Model velocity vectors are plotted at every third grid point.

862 and higher SSS in the central MBRS and Belize shelf,
 863 in agreement with SeaWiFS data (Figure 18). This low-
 864 salinity surface water is generated by storm-induced
 865 precipitation, as it is absent in the Exp-noRain model run
 866 (Figures 19a and 19d), demonstrating the importance of
 867 precipitation on coastal density structure and circulation
 868 during the hurricane.

870 5. Summary and Discussion

871 [49] A triply nested-grid ocean circulation modeling sys-
 872 tem, evaluated with SeaWiFS imagery and in situ oceanog-
 873 raphic observations, was used to study the dynamic
 874 response of the upper ocean in the Mesoamerican Barrier
 875 Reef System (MBRS) to the passage of Hurricane Mitch
 876 through the region in late October 1998. The model wind-
 877 forcing was approximated by a parameterized vortex
 878 inserted into the coarse-resolution NCEP/NCAR wind
 879 fields. The nested-grid system simulated reasonably well
 880 the highly localized, intense, divergent currents forced by
 881 the local wind under the storm, the intense near-inertial
 882 currents and cooling of sea surface temperature (SST)
 883 behind the storm track, and the bias of the near-inertial

884 currents and SST cooling to the right of the storm track. The
 885 rightward bias of the near-inertial currents behind the storm
 886 is mainly due to the fact that there is a more efficient energy
 887 transfer from the storm to the ocean on the right side of the
 888 storm track than that on the left side of the storm track
 889 [Chang and Anthes, 1978; Greatbatch, 1983]. The right-
 890 ward bias of the near-inertial currents behind the storm leads
 891 to stronger entrainment and mixing on the right side of the
 892 storm track, which is the main reason for the rightward bias
 893 of SST cooling [Price, 1981; Sheng et al., 2006].

[50] Storm-induced near-inertial currents are relatively 894
 895 strong and widespread over much of the northwestern
 896 Caribbean Sea, and in the vicinity of the storm track over
 897 the central Colombian Basin. Part of the near-inertial energy
 898 excited over the northern flank of the Nicaragua Rise
 899 propagates southward along the east coast of Honduras
 900 and reaches the southwestern Colombian Basin by the time
 901 the hurricane made landfall. Four days later, however, the
 902 SST cooling and near-inertial currents have largely dissipated
 903 and spread to other regions of the western Caribbean
 904 Sea (WCS). The nested-grid system also produced a large
 905 area of SST cooling in the southern MBRS, with a maxi-
 906 mum thermal loss of about 10°C over the coastal region
 907 around the Bay Islands, and weaker SST cooling over the
 908 northern flank of the Nicaragua Rise and central Colombian
 909 Basin.

[51] Because of heavy precipitation associated with 910
 911 Hurricane Mitch and the extensive coastal boundary in the
 912 study region, it was essential to include buoyancy forcing
 913 associated with storm-induced river discharge and precipi-
 914 tation over the WCS during and after the storm in the model
 915 simulations. We made use of remotely sensed imagery,
 916 meteorological data and watershed model outputs to approx-
 917 imate the buoyancy forcing associated with storm-induced
 918 precipitation in the open ocean of the WCS and flood river
 919 runoff at the coastal margins. Sea surface salinity (SSS) was
 920 derived empirically by assuming an inverse relationship
 921 between SSS and colored dissolved organic matter detected
 922 by the SeaWiFS satellite. Parameterized flood processes
 923 during Mitch were constructed for five major rivers in
 924 Honduras and Guatemala from published observations and
 925 models [Smith et al., 2002; Thattai et al., 2003]. The nested-
 926 grid system generated patterns of river plume evolutions
 927 that were comparable with the SeaWiFS observations in
 928 both space and time. Domain-scale patterns of advection
 929 from coastal areas to the northernmost regions of the MBRS
 930 within days were produced as a result of the massive storm
 931 disturbance event. The entire northern shelf of Honduras
 932 was inundated by low-salinity estuarine waters, and the
 933 buoyant estuarine plumes were entrained in post-storm
 934 circulations that extended hundreds of kilometers to the
 935 north and northwest. The fine structures of the plumes as
 936 well as the absolute salinity values within the plumes
 937 produced by the model, however, depend strongly on the
 938 accuracy of the flood processes and upper ocean circulations
 939 in the region that deserve further studies.

[52] Validation of model results is problematic in the 940
 941 MBRS because of the sparse and unsystematic observations
 942 in the region. In situ observation during hurricane condi-
 943 tions is difficult to obtain without arrays of permanent
 944 moorings in place in advance. The lack of multiple locations
 945 of in situ observations was compensated for in part by using

946 synoptic SeaWiFS observations before and after Mitch to
 947 evaluate the model simulations using qualitative compar-
 948 isons of the spatial extent of river plumes. Comparison of
 949 simulated currents, temperature and salinity in a single cell
 950 of the middle model with the only available empirical
 951 measurements of ocean conditions in the MBRS during
 952 the storm shows that while the magnitudes and temporal
 953 pattern of change in the simulated current velocities and
 954 temperatures associated with the storm passage are approx-
 955 imately consistent with the 18-day time series collected at
 956 27 m near Gladden Spit, the simulated salinity does not
 957 capture the variability or trend apparent in the observed time
 958 series.

959 [53] The spatial and temporal resolution and reasonable
 960 representation of model forcing of the nested-grid model
 961 system permit reasonable simulations of the proximal and
 962 distal effects of Hurricane Mitch on patterns of physical
 963 connectivity within an ecologically defined coral reef
 964 province. These are determined through comparisons with
 965 the climatological mean situation elucidated using the
 966 same model system as *Tang et al.* [2006]. The major
 967 impacts of the storm event were to strongly mix and
 968 rapidly diverge the waters of the upper ocean adjacent to
 969 the storm track, and to greatly accelerate and increase the
 970 flow of water from the southeastern portion of the MBRS
 971 region onto the atolls and barrier reef structures to the
 972 northwest.

973 [54] The magnitude of these impacts relative to the
 974 climatological mean scenario for the October–December
 975 period was large and persistent. Divergent near-surface
 976 velocities were 7 to 13 times higher within a 250 km radius
 977 of the storm center for a 5 day period. Subsurface flows at
 978 75 m depth were also about 5 times faster and less
 979 uniformly directed within the storm radius. The SST over
 980 areas as large as 60,000 km² in the wake of the storm track
 981 was 7% to 36% colder for periods as long as 15 days. The
 982 intense vertical mixing and vertical advection (upwelling)
 983 associated with this SST cooling draw waters from as deep
 984 as 100 m. The northeastward flows associated with the
 985 buoyant plumes flooded the northern Honduran shelf to a
 986 distance of 70 km offshore for 2 weeks after the storm
 987 passage, and then extended northwest more than 230 km
 988 from the coast to the deep ocean atolls and into the Belize
 989 barrier reef matrix at rates approximately 3 times faster than
 990 the climatological mean velocities. Signatures of hydro-
 991 graphic features and storm-induced flows associated with
 992 the hurricane were still evident more than 30 days after the
 993 passage of the storm. In addition to the significant insertions
 994 of near-inertial energy and modifications of the upper-ocean
 995 density structure to the southern MBRS, Hurricane Mitch
 996 produced significant deviations from the climatological
 997 mean circulation in the region: an intense easterly reversal
 998 of flow across the Honduran shelf as the storm approached;
 999 a major enhancement of the northerly flow off the Honduran
 1000 shelf both during the storm and afterward in reduced salinity
 1001 plumes shifting toward the west; and a complete disruption
 1002 of the gyre in the GOH.

1003 [55] Translating the simulated hydrodynamics in the
 1004 MBRS into predictions of impacts of Hurricane Mitch on
 1005 ecological connectivity in the region poses challenges
 1006 beyond the scope of this paper. The timescale of the storm
 1007 event (5–15 days) is shorter, but of the same order as the

pelagic larval duration of many Caribbean corals and reef
 fish [*Szmant and Meadows, 2006; Leis and McCormick,*
 2002]. Reproductive propagules (spores, eggs and early
 stage larvae) may be modeled as conservative with respect
 to the water mass for only the first 5–10 days following
 release, after which they are progressively more capable of
 directed vertical and horizontal movement. Water velocities
 in excess of 1 cm s⁻¹, however, will advect even the most
 competent swimmers [*Fisher, 2005*].

[56] Future work on numerical studies of the three-di-
 mensional circulation and hydrodynamic connectivity in the
 MBRS includes better representations of the shallow reef
 topography and rugosity using high-resolution remote sens-
 ing data [*Andréfouët et al., 2003*], and more accurate
 specification of the coastal salinity waters with in situ
 measurements along the Honduras, Guatemala and Belize
 coasts. Simulations of additional scenarios that characterize
 coastal circulation patterns visualized in remotely sensed
 imagery are also required to calibrate model results under
 both short-lived, ‘catastrophic’ and long-term mean, ‘normal’
 conditions. Sensitivity analyses, in combination with better
 representation of reef morphometrics relative to hydrody-
 namic forcing [e.g., *Naseer and Hatcher, 2001, 2004*] will
 improve the skill of numerical models and enhance the
 quantitative matching of model result to synoptic image.

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- S. Andréfouët, UR 128 Coréus, Institut de Recherche pour le
Développement, BP A5, 98848, Noumea Cedex, New Caledonia.
- B. G. Hatcher, Centre for Marine Ecosystem Research, Cape Breton
University, Sydney, NS, Canada B1P 6L2.
- W. D. Heyman and B. Kjerfve, Department of Geography, Texas A&M
University, College Station, TX 77843-3187, USA.
- C. Hu, F. E. Muller-Karger, and L. Wang, Institute for Marine Remote
Sensing, College of Marine Science, University of South Florida, St.
Petersburg, FL 33701, USA.
- J. Sheng and B. Yang, Department of Oceanography, Dalhousie
University, 1355 Oxford Street, Halifax, NS, Canada B3H 4J1. (jinyu.
sheng@dal.ca)